

STABILITY OF ABSTRACT COUPLED SYSTEMS

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ABSTRACT. We study stability of abstract differential equations coupled by means of a general algebraic condition. Our approach is based on techniques from operator theory and systems theory, and it allows us to study coupled systems by exploiting properties of the components, which are typically much simpler to analyse. As our main results we establish resolvent estimates and decay rates for abstract boundary-coupled systems. We illustrate the power of the general results by using them to obtain rates of energy decay in coupled systems of one-dimensional wave and heat equations, and in a multi-dimensional wave equation with an acoustic boundary condition.

1. INTRODUCTION

Motivated by applications to boundary-coupled systems of partial differential equations (PDEs), we introduce new abstract tools for establishing stability of coupled linear evolution equations. We focus on the case of coupled systems consisting of two distinct parts, which in concrete situations will often be different in nature. In particular, our results are applicable to systems in which a hyperbolic equation is coupled, along a shared boundary of the spatial domains, with a parabolic equation. This scenario arises especially in *thermoelastic systems* and in models describing *fluid-structure interactions*. More precisely, we consider a class of models which can be expressed in the abstract form

$$(1.1) \quad \begin{cases} \dot{z}_1(t) = L_1 z_1(t), & t \geq 0, \\ \dot{z}_2(t) = L_2 z_2(t), & t \geq 0, \\ G_1 z_1(t) = K_2 z_2(t), & t \geq 0, \\ G_2 z_2(t) = -K_1 z_1(t), & t \geq 0, \\ z_1(0) \in X_1, z_2(0) \in X_2. \end{cases}$$

Here the first two lines define evolution equations on two Hilbert spaces X_1 and X_2 , respectively, and the algebraic equations capture the interaction between the two systems. In the case of boundary-coupled PDEs, L_1 and L_2 are differential operators, and $G_j, K_j: D(L_j) \subseteq X_j \rightarrow U$, where $j = 1, 2$

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and U is another Hilbert space, are boundary trace operators representing the boundary coupling in the PDE model.

The standard approach to establishing well-posedness and stability of coupled PDE systems involves recasting the full system as an abstract Cauchy problem on a suitably chosen Hilbert space, and using the theory of strongly continuous operator semigroups to establish existence of solutions and study their asymptotic behaviour. The required analysis is typically fairly involved, even for simple coupled models. In this paper, we take a *divide-and-conquer* approach, deducing stability of the coupled system from properties of the two constituent parts, which in typical applications are either well understood or at least comparatively simple to analyse.

Our main assumptions are that the operators in (1.1) are chosen in such a way that the operator triples (G_1, L_1, K_1) and (G_2, L_2, K_2) form *boundary nodes* in the sense defined in Section 2, and they are *impedance passive* in the sense that

$$(1.2) \quad \operatorname{Re}\langle L_j x, x \rangle_{X_j} \leq \operatorname{Re}\langle G_j x, K_j x \rangle_U, \quad x \in D(L_j), \quad j = 1, 2.$$

While these assumptions, especially impedance passivity, certainly place a restriction on the class of boundary couplings that can be considered in (1.1), condition (1.2) is in fact satisfied for a large class of physically relevant models. These assumptions ensure that the system (1.1) is well posed, with solutions described by a strongly continuous semigroup of contractions.

Our main results relate the stability properties of the coupled system (1.1) to those of the system

$$(1.3) \quad \begin{cases} \dot{z}(t) = L_1 z(t), & t \geq 0, \\ G_1 z(t) = -K_1 z(t), & t \geq 0, \\ z(0) \in X_1. \end{cases}$$

Observe that (1.3) contains the dynamics of the state z_1 appearing in the first equation in (1.1) after decoupling from the state z_2 . The decoupling is completed by replacing the original coupling condition with the new algebraic constraint $z(t) \in \operatorname{Ker}(G_1 + K_1)$ for all $t \geq 0$, which in typical PDE applications has the interpretation of *boundary damping*. In order to capture the contribution of the second equation in (1.1) to the overall dynamics, we define $A_2: D(A_2) \subseteq X_2 \rightarrow X_2$ to be the restriction of L_2 to $D(A_2) = \operatorname{Ker} G_2$. Then A_2 is the generator of the semigroup describing the dynamics of z_2 in (1.1) with the homogeneous boundary condition $G_2 z_2(t) = 0$ for $t \geq 0$. We furthermore make use of the so-called *transfer function* P_2 of the boundary node (G_2, L_2, K_2) , which maps $\lambda \in \rho(A_2)$ to the bounded linear operator $P_2(\lambda)$ on U defined by $P_2(\lambda)u = K_2 x$ for $u \in U$, where x is the unique element $x \in D(L_2)$ such that

$$(\lambda - L_2)x = 0 \quad \text{and} \quad G_2 x = u.$$

The following theorem contains a version of our first main result. In Theorem 3.1 below we shall present a more general result, which does not require $\|(is - A_2)^{-1}\|$ or $\|P_2(1 + is)\|$ to be uniformly bounded with respect to $s \in \mathbb{R}$, and which can be used to obtain resolvent estimates on proper subsets of $i\mathbb{R}$. We will also allow the space U to be different for the two boundary nodes (G_1, L_1, K_1) and (G_2, L_2, K_2) .

Theorem 1.1. *Let (G_1, L_1, K_1) and (G_2, L_2, K_2) be two impedance passive boundary nodes and let A_0 denote the restriction of L_1 to $\text{Ker}(G_1 + K_1)$. Suppose that $i\mathbb{R} \subseteq \rho(A_0) \cap \rho(A_2)$ and let $M_0: \mathbb{R} \rightarrow [r, \infty)$, for some $r > 0$, be such that*

$$\|(is - A_0)^{-1}\| \leq M_0(s), \quad s \in \mathbb{R}.$$

Suppose further that there exists a function $\eta: \mathbb{R} \rightarrow (0, \infty)$ such that

$$\text{Re}(P_2(is)u, u)_U \geq \eta(s)\|u\|^2, \quad u \in U, \quad s \in \mathbb{R}$$

and that $\|(is - A_2)^{-1}\|$ and $\|P_2(1 + is)\|$ are uniformly bounded with respect to $s \in \mathbb{R}$. Then (1.1) can be reformulated as an abstract Cauchy problem on the Hilbert space $X = X_1 \times X_2$, the corresponding system operator A generates a contraction semigroup on X , and satisfies $i\mathbb{R} \subseteq \rho(A)$ and

$$\|(is - A)^{-1}\| \lesssim \frac{M_0(s)}{\eta(s)}, \quad s \in \mathbb{R}.$$

The resolvent estimate for A presented in Theorem 1.1 may be combined with results from the asymptotic theory of operator semigroups to describe the asymptotic behaviour of solutions to (1.1). This is illustrated in the following corollary, which is a special case of Corollary 4.3 below.

Corollary 1.2. *Consider the setting of Theorem 1.1. The contraction semigroup $(T(t))_{t \geq 0}$ generated by A has the following properties:*

- (a) *The semigroup $(T(t))_{t \geq 0}$ is strongly stable.*
- (b) *If $M_0(s)/\eta(s)$ is uniformly bounded with respect to $s \in \mathbb{R}$, then $(T(t))_{t \geq 0}$ is uniformly exponentially stable.*
- (c) *If $M_0(s)/\eta(s) \lesssim 1 + |s|^\alpha$ for some $\alpha > 0$ and all $s \in \mathbb{R}$, then*

$$\|z_1(t)\| + \|z_2(t)\| = o(t^{-1/\alpha}), \quad t \rightarrow \infty,$$

whenever $z_1(0) \in D(L_1)$, $z_2(0) \in D(L_2)$ and the coupling conditions $G_1 z_1(0) = K_2 z_2(0)$ and $G_2 z_2(0) = -K_1 z_1(0)$ are satisfied.

We note that the conditions on the initial data $z_1(0)$ and $z_2(0)$ in part (c) of Corollary 1.2 are equivalent to z_1 and z_2 constituting a *classical solution* of the abstract differential equation (1.1), and the result corresponds to *polynomial stability* of the associated semigroup $(T(t))_{t \geq 0}$. For many PDE systems of interest the quantity $\|z_1(\cdot)\|^2 + \|z_2(\cdot)\|^2$ is proportional to the *energy* of the solution of (1.1), defined in a natural way, and thus Corollary 1.2 immediately yields rates of energy decay in coupled PDE systems. The resolvent bound M_0 for A_0 in Theorem 1.1 can often be taken directly from results found in the literature on, say, wave equations subject to boundary damping [34, 41]. Alternatively, one can often construct a suitable function M_0 by applying abstract methods such as those found in [4, 7, 22, 24, 27].

In the final part of our paper we illustrate the power and versatility of our abstract results by using them to establish polynomial stability of selected PDE models, namely, networks of one-dimensional wave and heat equations and a multidimensional wave equation with an acoustic boundary condition. In the latter case, we apply variants of Theorem 1.1 and Corollary 1.2 for systems in which the boundary node (G_1, L_1, K_1) is coupled with an infinite-dimensional linear system.

Well-posedness and stability of boundary-coupled PDE systems have been investigated extensively in the literature and, in particular, many authors have studied polynomial stability of coupled PDEs of mixed type, such as wave-heat or wave-beam systems. For instance, rational energy decay has been established by direct PDE methods for multidimensional wave-heat models arising from fluid-structure interaction models [12, 13, 18, 29, 58], networks of one-dimensional PDE models [6, 11, 32, 36], and PDE models with dynamic boundary conditions [2, 42, 43, 49, 56]. Results on stability of coupled abstract differential equations may be found in [3, 10, 21, 28, 31, 45, 48], and well-posedness of the particular system (1.1) has previously been established in [1]. Our main results establish explicit resolvent bounds and decay rates for (1.1) with abstract boundary coupling. A particular strength of our results is that they allow us to exploit the relatively simple structure of the two constituent parts of the coupled system (1.1).

Our notation and terminology are standard throughout. We implicitly take all of our spaces to be complex, and we add subscripts to norms and inner products only in cases where there is risk of ambiguity. Given normed spaces X and Y , we write $\mathcal{B}(X, Y)$ for the space of bounded linear operators from X to Y , and we write $\mathcal{B}(X)$ for $\mathcal{B}(X, X)$. We denote the domain of a linear operator L by $D(L)$, and we implicitly endow domains of linear operators with the graph norm. We denote the range and kernel of a linear operator L by $\text{Ran } L$ and $\text{Ker } L$, respectively, and we write $\sigma(L)$ and $\rho(L)$ for the spectrum and the resolvent set of L . A linear operator $L: D(L) \subseteq X \rightarrow Y$ will be said to be *bounded below* if there exists a constant $c > 0$ such that $\|Lx\|_Y \geq c\|x\|_X$ for all $x \in D(L)$. Furthermore, given a bounded linear operator $T \in \mathcal{B}(X)$ on a Hilbert space X , we denote by $\text{Re } T$ the self-adjoint operator $\frac{1}{2}(T + T^*)$, and given two self-adjoint bounded linear operators $S, T \in \mathcal{B}(X)$ on a Hilbert space X we write $T \geq S$ to mean that $\langle Tx - Sx, x \rangle \geq 0$ for all $x \in X$. If p and q are two real-valued quantities we write $p \lesssim q$ to express that $p \leq Cq$ for some constant $C > 0$ which is independent of all parameters that are free to vary in a given situation. We shall also make use of standard ‘big-O’ and ‘little-o’ notation.

2. PRELIMINARY RESULTS ON BOUNDARY NODES

Let X and U be Hilbert spaces, and let $L: D(L) \subseteq X \rightarrow X$, $G, K: D(L) \subseteq X \rightarrow U$ be linear operators. The triple (G, L, K) is said to be an (*internally well-posed*) *boundary node* (on the Hilbert spaces (U, X, U)) if

- (i) $G, K \in \mathcal{B}(D(L), U)$;
- (ii) the restriction $A: \text{Ker } G \subseteq X \rightarrow X$ of L to $\text{Ker } G$ generates a C_0 -semigroup on X ;
- (iii) the operator G has a right-inverse, which is to say there exists $G^r \in \mathcal{B}(U, D(L))$ such that $GG^r = I$.

The boundary node is said to be *impedance passive* if

$$(2.1) \quad \text{Re}\langle Lx, x \rangle_X \leq \text{Re}\langle Gx, Kx \rangle_U, \quad x \in D(L),$$

and in this case it follows from the Lumer–Phillips theorem [30, Thm. II.3.15] that the C_0 -semigroup generated by A is contractive. Note further that for

any boundary control system the domain $D(L)$ of L is densely (and continuously) embedded in X . Furthermore, the existence of a right-inverse for G is guaranteed if, for instance, U is finite-dimensional and G is surjective. A boundary node may be associated with the *abstract boundary control system* [23, 39, 51] given by

$$(2.2) \quad \begin{cases} \dot{z}(t) = Lz(t), & t \geq 0, \\ Gz(t) = u(t), & t \geq 0, \\ y(t) = Kz(t), & t \geq 0, \\ z(0) = z_0 \in X. \end{cases}$$

Remark 2.1. As noted for instance in [40], it is possible to consider boundary nodes also in the ostensibly more general setting where K maps not into the codomain U of G but instead into another Hilbert space Y . In this case it is customary to assume either that U is the space of bounded conjugate-linear functionals on Y or, vice versa, that Y is the space of bounded conjugate-linear functionals on U , so that the inner product of U in (2.1) can be replaced by the dual pairing between U and Y . The Riesz–Fréchet theorem ensures the existence of a unitary map $\Phi: U \rightarrow Y$, so we may return to the setting considered initially by replacing either K by Φ^*K or G by ΦG , resulting in a boundary node on the spaces (Y, X, Y) .

Remark 2.2. Our definition of an (internally well-posed) boundary node is ostensibly different from that given in [1, Def. 2.2] and [40, Def. 2.2], but in fact the two concepts coincide. More precisely, (G, L, K) is an internally well-posed boundary node on (U, X, U) if and only if (G, L, K) is an internally well-posed boundary node in the sense of [1, Def. 2.2] and [40, Def. 2.2] and a *strong colligation* in the sense of [40, Def. 4.4]. Moreover, our definition of impedance passivity of the boundary node (G, L, K) is equivalent to the definition in [1, Def. 2.3] and [40, Def. 3.2].

We omit the proofs of the claims made in Remark 2.2, except to point out that whereas closedness of the operator L , or equivalently completeness of $D(L)$, is *assumed* in [1, 40] for us it is a consequence of the definition of a boundary node, as the following simple result shows.

Lemma 2.3. *If (G, L, K) is a boundary node then L is a closed operator.*

Proof. Let $(x_n)_{n \geq 1}$ be a sequence in $D(L)$ and suppose that $x, y \in X$ are such that $x_n \rightarrow x$ and $Lx_n \rightarrow y$ as $n \rightarrow \infty$. Since $G \in \mathcal{B}(D(L), U)$, the sequence $(Gx_n)_{n \geq 1}$ is a Cauchy sequence in U , so by completeness there exists $u \in U$ such that $Gx_n \rightarrow u$ as $n \rightarrow \infty$. Let $y_n = x_n - G^r Gx_n \in \text{Ker } G$ for $n \geq 1$, where $G^r \in \mathcal{B}(U, D(L))$ is a right-inverse of G . Then $y_n \rightarrow x - G^r u$ as $n \rightarrow \infty$. Since $LG^r \in \mathcal{B}(U, X)$, the restriction A of L to $\text{Ker } G$ satisfies

$$Ay_n = Lx_n - LG^r Gx_n \rightarrow y - LG^r u, \quad n \rightarrow \infty.$$

The operator A is by assumption the generator of a C_0 -semigroup on X , and in particular closed, so $x - G^r u \in D(A) = \text{Ker } G \subseteq D(L)$ and $A(x - G^r u) = y - LG^r u$. Hence $x \in D(L)$ and $Lx = A(x - G^r u) + LG^r u = y$, and it follows that L is closed. \square

Let (G, L, K) be a boundary node on (U, X, U) , and let A be the restriction of L to $\text{Ker } G$. We define the *transfer functions* $H: \rho(A) \rightarrow \mathcal{B}(U, X)$ and $P: \rho(A) \rightarrow \mathcal{B}(U)$ by $H(\lambda)u = x$ and $P(\lambda)u = Kx$ for all $\lambda \in \rho(A)$ and $u \in U$, where $x \in D(L)$ is the solution of the abstract elliptic problem

$$(\lambda - L)x = 0, \quad Gx = u.$$

The existence of H and P follow from [54, Rem. 10.1.5 & Prop. 10.1.2]. In defining the transfer functions of a boundary node, we do not distinguish notationally between H and P and their analytic extensions to larger domains. Our first preparatory result establishes various identities and estimates, primarily for the transfer functions H and P . Parts of the proof rely on the theory of *system nodes* [53, Sect 4.7].

Proposition 2.4. *Assume that (G, L, K) is a boundary node on (U, X, U) and let A be the restriction of L to $\text{Ker } G$.*

- (a) *The functions H and P are analytic, and for $\lambda \in \rho(A)$ the following hold:*
- (i) $LH(\lambda) = \lambda H(\lambda)$, $GH(\lambda) = I$ and $KH(\lambda) = P(\lambda)$;
 - (ii) $H(\lambda) \in \mathcal{B}(U, D(L))$;
 - (iii) $(\lambda - A)^{-1}(\lambda - L)x = x - H(\lambda)Gx$ for all $x \in D(L)$, and in particular $H(\lambda)Gx = x$ for all $x \in \text{Ker}(\lambda - L)$.
- (b) *Assume in addition that the boundary node (G, L, K) is impedance passive. Then $\text{Re } P(\lambda) \geq 0$ for all $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$, and*

$$(2.3) \quad \|H(\lambda)\|^2 \leq \frac{1}{\text{Re } \lambda} \|P(\lambda)\|, \quad \|K(\lambda - A)^{-1}\|^2 \leq \frac{1}{\text{Re } \lambda} \|P(\lambda)\|$$

for all $\lambda \in \mathbb{C}_+$. Moreover, if $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$ then

$$\begin{aligned} \|K(\lambda - A)^{-1}\| &\leq \|K(1 + \lambda - A)^{-1}\|(1 + \|(\lambda - A)^{-1}\|), \\ \|H(\lambda)\| &\leq \|H(1 + \lambda)\|(1 + \|(\lambda - A)^{-1}\|), \\ \|P(\lambda)\| &\leq \|P(1 + \lambda)\|(2 + \|(\lambda - A)^{-1}\|). \end{aligned}$$

Proof. Part (a)(i) follows immediately from the definitions of H and P , and part (a)(ii) follows from the first part of (a)(i). In order to prove part (a)(iii) let $\lambda \in \rho(A)$, $x \in D(L)$ and let $y = (\lambda - A)^{-1}(\lambda - L)x$. Then $y \in D(A) = \text{Ker } G$ and $(\lambda - A)y = (\lambda - L)x$. Hence $y - x \in \text{Ker}(\lambda - L)$ and $G(y - x) = -Gx$, so by definition of H we have $y - x = -H(\lambda)Gx$, as required. Since G is surjective by assumption, part (iii) and the resolvent identity can be used to show that $H(\lambda) - H(\mu) = (\mu - \lambda)(\lambda - A)^{-1}H(\mu)$ for all $\lambda, \mu \in \rho(A)$. Analyticity of H and P follows easily.

Now suppose that the boundary node (G, L, K) is impedance passive and let $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$, $u \in U$ and $x = H(\lambda)u$. Then $x \in \text{Ker}(\lambda - L)$, $Gx = u$ and $Kx = P(\lambda)u$, so

$$\text{Re}\langle P(\lambda)u, u \rangle = \text{Re}\langle Kx, Gx \rangle \geq \text{Re}\langle Lx, x \rangle = \text{Re } \lambda \|x\|^2.$$

Thus $\text{Re } P(\lambda) \geq 0$ and $\text{Re } \lambda \|H(\lambda)u\|^2 \leq \|P(\lambda)\| \|u\|^2$, giving both the first claim in (b) and the first inequality in (2.3). By [39, Thm. 2.3] the boundary node (G, L, K) defines a system node

$$(2.4) \quad S = \begin{pmatrix} A\&B \\ C\&D \end{pmatrix} = \begin{pmatrix} L \\ K \end{pmatrix} \begin{pmatrix} I \\ G \end{pmatrix}^{-1}, \quad D(S) = \text{Ran} \begin{pmatrix} I \\ G \end{pmatrix},$$

and the transfer function of the system node S coincides on $\rho(A) \cap \overline{\mathbb{C}_+}$ with P . Here the semigroup generator associated with S is precisely A , the output operator C of S is the restriction of K to $D(A) = \text{Ker } G$ and the input operator B satisfies $H(\lambda) = (\lambda - A)^{-1}B$ for all $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$, by [54, Prop. 10.1.2 & Rem. 10.1.5]. Here and elsewhere we do not distinguish notationally between A and its extension to an element of $\mathcal{B}(X, X_{-1})$, where X_{-1} is the completion of X with respect to the norm defined by $\|x\|_{-1} = \|(\lambda_0 - A)^{-1}x\|$ for some choice of $\lambda_0 \in \rho(A)$. Since (G, L, K) is impedance passive, it follows from [39, Thm. 2.3] and [52, Thm. 4.2] that the system node S is impedance passive in the sense of [52, Def. 4.1], which is to say that

$$\text{Re}\langle Ax + Bu, x \rangle \leq \text{Re} \left\langle C \&D \begin{pmatrix} x \\ u \end{pmatrix}, u \right\rangle, \quad \begin{pmatrix} x \\ u \end{pmatrix} \in D(S).$$

Let $\lambda \in \mathbb{C}_+$ and $x = (\lambda - A)^{-1}Bu$. Then $Ax + Bu = \lambda x \in X$ and $(C \&D)(x, u)^\top = P(\lambda)u$, and impedance passivity of S gives

$$\text{Re } \lambda \|(\lambda - A)^{-1}Bu\|^2 = \text{Re}\langle \lambda x, x \rangle = \text{Re}\langle Ax + Bu, x \rangle \leq \text{Re}\langle P(\lambda)u, u \rangle.$$

Thus $\|(\lambda - A)^{-1}B\|^2 \leq (\text{Re } \lambda)^{-1}\|P(\lambda)\|$ for $\lambda \in \mathbb{C}_+$, and in fact the same estimate holds for impedance passive system nodes which do not necessarily arise from boundary control systems. By [53, Thm. 6.2.14] the dual system node has domain

$$D(S^*) = \left\{ \begin{pmatrix} x \\ u \end{pmatrix} \in X \times U : A^*x + C^*u \in X \right\},$$

and its generating operators are A^* , C^* , and B^* , and its transfer function on \mathbb{C}_+ is given by $\lambda \mapsto P(\bar{\lambda})^*$. Here C^* is the adjoint of $C \in \mathcal{B}(D(A), U)$. Furthermore, S is impedance passive if and only if S^* is impedance passive [52, Cor. 4.5]. Hence $\|(\bar{\lambda} - A^*)^{-1}C^*\|^2 \leq (\text{Re } \lambda)^{-1}\|P(\lambda)^*\|$ for all $\lambda \in \mathbb{C}_+$ by the above argument. Recalling that C coincides with the restriction of K to $D(A)$, we deduce that if $\lambda \in \mathbb{C}_+$ then

$$(K(\lambda - A)^{-1})^* = (C(\lambda - A)^{-1})^* = (\bar{\lambda} - A^*)^{-1}C^*,$$

where $(\bar{\lambda} - A^*)^{-1}$ is the adjoint of $(\lambda - A)^{-1} \in \mathcal{B}(X, D(A))$. It follows that $\|K(\lambda - A)^{-1}\|^2 \leq (\text{Re } \lambda)^{-1}\|P(\lambda)\|$.

Finally, let $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$. Then $(\lambda - A)^{-1} = (1 + \lambda - A)^{-1}(I + (\lambda - A)^{-1})$ by the resolvent identity, and the first of the final three estimates follows at once. If $u \in U$ and $x = H(1 + \lambda)u \in D(L)$, then $(1 + \lambda - L)x = 0$ and $Gx = u$. By part (a)(iii) we have $x = -(\lambda - A)^{-1}x + H(\lambda)u$. Hence $H(\lambda) = (I + (\lambda - A)^{-1})H(1 + \lambda)$. This identity immediately gives the second estimate, and also implies the final estimate using part (a)(i), (2.3) and the previous estimate for $\|K(\lambda - A)^{-1}\|$. \square

Remark 2.5. If we define $L: D(L) \subseteq X \rightarrow X$ by $L = \text{diag}(L_1, L_2)$ with domain $D(L) = D(L_1) \times D(L_2)$ and $G, K: D(L) \subseteq X \rightarrow U = U_1 \times U_2$ by $G = \text{diag}(G_1, G_2)$ and $K = \text{diag}(K_1, K_2)$, then it is easy to see that (G, L, K) is an impedance passive boundary node on (U, X, U) , and the associated transfer function P satisfies $P(\lambda) = \text{diag}(P_1(\lambda), P_2(\lambda))$ for all $\lambda \in \rho(A_1) \cap \rho(A_2) \cap \overline{\mathbb{C}_+}$.

In what follows we shall consider the restriction of L to $\text{Ker}(G + J^*QJK)$, where (G, L, K) is an impedance passive boundary node on (U, X, U) , Q is a bounded linear operator on a Hilbert space V and $J \in \mathcal{B}(U, V)$. We denote this operator by A_Q , noting that A_0 coincides with the operator A . The operator A_Q is associated with the system

$$(2.5) \quad \begin{cases} \dot{z}(t) = Lz(t), & t \geq 0, \\ Gz(t) = -J^*QJKz(t), & t \geq 0, \\ z(0) = z_0 \in X. \end{cases}$$

Here the second line represents *boundary damping* when $\text{Re } Q \geq 0$.

Proposition 2.6. *Let (G, L, K) be an impedance passive boundary node on (U, X, U) , $J \in \mathcal{B}(U, V)$ and suppose that $Q \in \mathcal{B}(V)$ satisfies $\text{Re } Q \geq cI$ for some $c > 0$.*

- (a) *The triple $(G + J^*QJK, L, K)$ is an impedance passive boundary node on (U, X, U) and $\rho(A) \cap \overline{\mathbb{C}_+} \subseteq \rho(A_Q) \cap \overline{\mathbb{C}_+}$. Moreover,*

$$(2.6) \quad \text{Re}\langle A_Q x, x \rangle \leq -c\|JKx\|^2, \quad x \in D(A_Q).$$

- (b) *Let $H_Q: \rho(A_Q) \rightarrow \mathcal{B}(U, X)$ and $P_Q: \rho(A_Q) \rightarrow \mathcal{B}(U)$ denote the transfer functions associated with $(G + J^*QJK, L, K)$. If $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$ then $I + J^*QJP(\lambda)$ is invertible and*

$$\begin{aligned} H_Q(\lambda) &= H(\lambda)(I + J^*QJP(\lambda))^{-1}, \\ P_Q(\lambda) &= P(\lambda)(I + J^*QJP(\lambda))^{-1}. \end{aligned}$$

- (c) *If $\lambda \in \rho(A_Q) \cap \overline{\mathbb{C}_+}$ then*

$$\begin{aligned} \|JK(\lambda - A_Q)^{-1}\|^2 &\leq c^{-1}\|(\lambda - A_Q)^{-1}\|, \\ \|H_Q(\lambda)J^*\|^2 &\leq c^{-1}\|(\lambda - A_Q)^{-1}\|, \\ \|JP_Q(\lambda)J^*\| &\leq c^{-1}. \end{aligned}$$

Proof. We begin by proving the inclusion $\rho(A) \cap \overline{\mathbb{C}_+} \subseteq \rho(A_Q) \cap \overline{\mathbb{C}_+}$ in (a). To this end, let $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$. Then $\text{Re } P(\lambda) \geq 0$ by Proposition 2.4(b), and thus also $\text{Re } JP(\lambda)J^* \geq 0$. Since $\text{Re } Q \geq cI$, Q is invertible and $\text{Re } Q^{-1} \geq c\|Q\|^{-2}I$ by [48, Lem. A.1]. Thus $I + QJP(\lambda)J^* = Q(Q^{-1} + JP(\lambda)J^*)$. But

$$\text{Re}(Q^{-1} + JP(\lambda)J^*) \geq \text{Re } Q^{-1} \geq c\|Q\|^{-2}I,$$

and hence $I + QJP(\lambda)J^*$ is invertible, which finally implies that $I + J^*QJP(\lambda)$, too, is invertible. Let

$$(2.7) \quad R_\lambda = (\lambda - A)^{-1} - H(\lambda)(I + J^*QJP(\lambda))^{-1}J^*QJK(\lambda - A)^{-1},$$

noting that $R_\lambda \in \mathcal{B}(X)$ and $\text{Ran } R_\lambda \subseteq D(L)$. By Proposition 2.4(a) we have $(G + J^*QJK)H(\lambda) = I + J^*QJP(\lambda)$, and hence a straightforward calculation gives $\text{Ran } R_\lambda \subseteq D(A_Q) = \text{Ker}(G + J^*QJK)$. Using the fact that $(\lambda - L)H(\lambda) = 0$, again by Proposition 2.4(a), we see that $(\lambda - A_Q)R_\lambda = I$. Now let $x \in D(A_Q)$. Then $Gx = -J^*QJKx$ and hence

$$(\lambda - A)^{-1}(\lambda - L)x = x + H(\lambda)J^*QJKx$$

by Proposition 2.4(a). Another straightforward calculation now shows that $R_\lambda(\lambda - A_Q)x = x$. Hence R_λ is the inverse of $\lambda - A_Q$, so $\lambda \in \rho(A_Q) \cap \overline{\mathbb{C}_+}$. If $x \in D(L)$, then by impedance passivity of (G, L, K) we have

$$(2.8) \quad \begin{aligned} \operatorname{Re}\langle Lx, x \rangle &\leq \operatorname{Re}\langle Gx, Kx \rangle \\ &= \operatorname{Re}\langle Gx + J^*QJKx, Kx \rangle - \operatorname{Re}\langle J^*QJKx, Kx \rangle \\ &\leq \operatorname{Re}\langle Gx + J^*QJKx, Kx \rangle - c\|JKx\|^2. \end{aligned}$$

This establishes the estimate (2.6) and in particular shows that A_Q is dissipative. Since $1 \in \rho(A) \cap \mathbb{C}_+ \subseteq \rho(A_Q) \cap \mathbb{C}_+$, the operator A_Q is maximally dissipative, so by the Lumer–Phillips theorem it generates a C_0 -semigroup of contractions. Next we use Proposition 2.4(a) to observe that $(G + J^*QJK)H(1) = I + J^*QJP(1)$ and that $H(1) \in \mathcal{B}(U, D(L))$. It follows that $H(1)(I + J^*QJP(1))^{-1} \in \mathcal{B}(U, D(L))$ is a right-inverse of $G + J^*QJK$. Hence $(G + J^*QJK, L, K)$ is a boundary node on (U, X, U) , and by (2.8) it is impedance passive.

In order to prove (b), let $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$ and $u \in U$. Then $x = H_Q(\lambda)u$ satisfies $(\lambda - L)x = 0$ and $Gx + J^*QJKx = u$. Proposition 2.4(a) implies that

$$(2.9) \quad x = H(\lambda)Gx = H(\lambda)u - H(\lambda)J^*QJKx,$$

and hence $Kx = P(\lambda)u - P(\lambda)J^*QJKx$. By the proof of part (a) the operator $I + J^*QJP(\lambda)$ is invertible, and hence $I + P(\lambda)J^*QJ$, too, is invertible and

$$(I + P(\lambda)J^*QJ)^{-1} = I - P(\lambda)(I + J^*QJP(\lambda))^{-1}J^*QJ.$$

It follows that

$$Kx = (I + P(\lambda)J^*QJ)^{-1}P(\lambda)u = P(\lambda)(I + J^*QJP(\lambda))^{-1}u,$$

giving $P_Q(\lambda) = P(\lambda)(I + J^*QJP(\lambda))^{-1}$, as required. Together with (2.9) this implies that

$$H_Q(\lambda) = H(\lambda)(I - J^*QJP(\lambda)(I + J^*QJP(\lambda))^{-1}) = H(\lambda)(I + J^*QJP(\lambda))^{-1},$$

thus completing the proof of part (b).

We now turn to part (c), starting with the final estimate. Let $\lambda \in \rho(A_Q) \cap \overline{\mathbb{C}_+}$ and $u \in U$, and let $x = H_Q(\lambda)J^*u$. Then $(\lambda - L)x = 0$ and $(G + J^*QJK)x = J^*u$. Moreover, $JP_Q(\lambda)J^*u = JKx$. Using impedance passivity of (G, L, K) we find that

$$\begin{aligned} c\|JP_Q(\lambda)J^*u\|^2 &= c\operatorname{Re}\langle JKx, JKx \rangle \leq \operatorname{Re}\langle QJKx, JKx \rangle \\ &= \operatorname{Re}\langle J^*u, Kx \rangle - \operatorname{Re}\langle Gx, Kx \rangle \leq \operatorname{Re}\langle u, JKx \rangle - \operatorname{Re}\langle Lx, x \rangle \\ &= \operatorname{Re}\langle u, JP_Q(\lambda)J^*u \rangle - \operatorname{Re}\lambda\|x\|^2 \leq \|u\|\|JP_Q(\lambda)J^*u\|, \end{aligned}$$

and hence $\|JP_Q(\lambda)J^*\| \leq c^{-1}$, as required. In order to prove the remaining two estimates in (c), we consider the system nodes S and S_Q associated with the boundary nodes (G, L, K) and $(G + J^*QJK, L, K)$, respectively. By [39, Thm. 2.3] these system nodes are given by (2.4) and by

$$S_Q = \begin{pmatrix} A_Q & B_Q \\ C_Q & D_Q \end{pmatrix} = \begin{pmatrix} L \\ K \end{pmatrix} \begin{pmatrix} I \\ G + J^*QJK \end{pmatrix}^{-1}$$

with domain

$$D(S_Q) = \text{Ran} \begin{pmatrix} I \\ G + J^*QJK \end{pmatrix}.$$

The output operator C_Q of S_Q is the restriction of K to $D(A_Q) = \text{Ker}(G + J^*QJK)$, $H_Q(\lambda) = (\lambda - A_Q)^{-1}B_Q$ for all $\lambda \in \rho(A_Q) \cap \overline{\mathbb{C}_+}$ and the transfer function of the system node S_Q coincides on $\rho(A_Q) \cap \overline{\mathbb{C}_+}$ with P_Q . Furthermore, impedance passivity of the boundary nodes (G, L, K) and $(G + J^*QJK, L, K)$ implies that the system nodes S and S_Q are impedance passive in the sense of [52, Def. 4.1]. Since $1 \in \rho(A) \cap \overline{\mathbb{C}_+}$ we know that $I + J^*QJP(1)$ and $I + P(1)J^*QJ$ are invertible. Moreover, by (2.7) we have

$$(I - A_Q)^{-1} = (I - H(1)(I + J^*QJP(1))^{-1}J^*QJK)(I - A)^{-1},$$

and a straightforward computation using Proposition 2.4(a) shows that

$$C_Q(I - A_Q)^{-1} = K(I - A_Q)^{-1} = (I + P(1)J^*QJ)^{-1}K(I - A)^{-1}.$$

Hence using Proposition 2.6(b) we obtain

$$\begin{aligned} & \begin{pmatrix} (I - H(1)(I + J^*QJP(1))^{-1}J^*QJK)(I - A)^{-1} & H(1)(I + J^*QJP(1))^{-1} \\ (I + P(1)J^*QJ)^{-1}K(I - A)^{-1} & P(1)(I + J^*QJP(1))^{-1} \end{pmatrix} \\ &= \begin{pmatrix} (I - A_Q)^{-1} & (I - A_Q)^{-1}B_Q \\ C_Q(I - A_Q)^{-1} & P_Q(1) \end{pmatrix}. \end{aligned}$$

It follows from [53, Thm. 7.4.7(ix)] that $-J^*QJ$ is an admissible output feedback operator for S in the sense of [53, Def. 7.4.2], and furthermore S_Q is precisely the closed-loop system node resulting from S by applying this feedback. Now let $\lambda \in \rho(A_Q) \cap \overline{\mathbb{C}_+}$, let $y \in X$ and define $x \in D(A_Q)$ by $x = (\lambda - A_Q)^{-1}y$. Moreover, let $u = -J^*QJC_Qx \in U$. Then [53, Thm. 7.4.5] gives

$$Ax + Bu = Ax - BJ^*QJC_Qx = A_Qx \in X$$

and $(C\&D)(x, u)^\top = C_Qx$. Using [52, Thm. 4.2(iii)] and impedance passivity of S we deduce that

$$\begin{aligned} c\|JC_Qx\|^2 &\leq \text{Re}\langle JC_Qx, QJC_Qx \rangle = \text{Re} \left\langle C\&D \begin{pmatrix} x \\ u \end{pmatrix}, J^*QJC_Qx \right\rangle \\ &= -\text{Re} \left\langle C\&D \begin{pmatrix} x \\ u \end{pmatrix}, u \right\rangle \leq -\text{Re}\langle Ax + Bu, x \rangle \\ &\leq \text{Re}\langle (\lambda - A_Q)x, x \rangle \leq \|(\lambda - A_Q)^{-1}\| \|y\|^2, \end{aligned}$$

and hence

$$(2.10) \quad \|JK(\lambda - A_Q)^{-1}\|^2 = \|JC_Q(\lambda - A_Q)^{-1}\|^2 \leq c^{-1}\|(\lambda - A_Q)^{-1}\|,$$

as required. Our argument shows that the second inequality in (2.10) is also valid for any system node S_Q which is obtained by feedback from an impedance passive system node S with an admissible feedback operator of the form $-J^*QJ$. In order to prove the final estimate in (c), we consider the dual system node $(S_Q)^*$, which by [53, Thm. 7.6.1(ii)] coincides with the closed-loop system node obtained from the impedance passive system node S^* by applying the admissible output feedback operator $(-J^*QJ)^* = -J^*Q^*J$. Furthermore, by [53, Lem. 6.2.14] its generating operators are $(A_Q)^*$, $(C_Q)^*$

and $(B_Q)^*$. Let $\lambda \in \rho(A_Q) \cap \overline{\mathbb{C}_+}$. Then $\bar{\lambda} \in \rho((A_Q)^*) \cap \overline{\mathbb{C}_+}$ and, since $\operatorname{Re} Q^* = \operatorname{Re} Q \geq cI$, the inequality in (2.10) gives

$$\|J(B_Q)^*(\bar{\lambda} - (A_Q)^*)^{-1}\|^2 \leq c^{-1}\|(\bar{\lambda} - (A_Q)^*)^{-1}\| = c^{-1}\|(\lambda - A_Q)^{-1}\|.$$

Noting that

$$\|H_Q(\lambda)J^*\| = \|(\lambda - A_Q)^{-1}B_QJ^*\| = \|J(B_Q)^*(\bar{\lambda} - (A_Q)^*)^{-1}\|,$$

where $(\bar{\lambda} - (A_Q)^*)^{-1}$ is the adjoint of $(\lambda - A_Q)^{-1} \in \mathcal{B}(X, D(A_Q))$ and $(B_Q)^* \in \mathcal{B}(D((A_Q)^*), U)$, we deduce that $\|H_Q(\lambda)J^*\|^2 \leq c^{-1}\|(\lambda - A_Q)^{-1}\|^2$, thus completing the proof. \square

Remark 2.7. As the proof of part (a) makes clear, $(G + J^*QJK, L, K)$ is an impedance passive boundary node even if we replace the assumption that $\operatorname{Re} Q \geq cI$ for some $c > 0$ by the weaker conditions that $\operatorname{Re} Q \geq 0$ and $I + J^*QJP(\lambda)$ is invertible for some $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$. By [48, Lem. A.1(d)] this is in particular true whenever Q is self-adjoint and $Q \geq 0$.

We conclude this preliminary section with a result providing sufficient conditions under which the roles of the input and output of an impedance passive boundary node can be interchanged. Note that if (G, L, K) is an impedance passive boundary node and if $P(\lambda)$ is invertible for some $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$, then $P(\lambda)$ is invertible for all $\lambda \in \mathbb{C}_+$ by [37, Cor. 4.3].

Proposition 2.8. *Let (G, L, K) be an impedance passive boundary node on (U, X, U) and suppose that $P(\lambda)$ is invertible for some $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$. Then (K, L, G) is an impedance passive boundary node on (U, X, U) and $\lambda \in \rho(B)$, where B denotes the restriction of L to $\operatorname{Ker} K$. Furthermore, if P_* denotes the counterpart of P for the boundary node (K, L, G) then*

$$\{\lambda \in \rho(A) \cap i\mathbb{R} : P(\lambda) \text{ is invertible}\} = \{\lambda \in \rho(B) \cap i\mathbb{R} : P_*(\lambda) \text{ is invertible}\},$$

and $P_*(\lambda) = P(\lambda)^{-1}$ for all $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$ such that $P(\lambda)$ is invertible.

Proof. In order to verify that (K, L, G) is an impedance passive boundary node we only need to show that B generates a C_0 -semigroup on X and that K has a right-inverse, since (2.1) is symmetric in G and K . Let $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$ be such that $P(\lambda)$ is invertible and set $K^r = H(\lambda)P(\lambda)^{-1}$. By Proposition 2.4(a) we have $K^r \in \mathcal{B}(U, D(L))$ and $KK^r = I$, so K^r is a right-inverse of K . Moreover, by (2.1) we have $\operatorname{Re}\langle Bx, x \rangle \leq 0$ for all $x \in \operatorname{Ker} K$, so B is dissipative. We now show that $\lambda \in \rho(B)$. Since B is the restriction of L to $\operatorname{Ker} K$, and L is closed by Lemma 2.3, it is straightforward to show that B is closed. Hence it suffices to prove that $\lambda - B$ is bijective. Suppose therefore that $x \in \operatorname{Ker}(\lambda - B)$. Then $x \in \operatorname{Ker} K \cap \operatorname{Ker}(\lambda - L)$, so using Proposition 2.4(a) we obtain

$$0 = Kx = KH(\lambda)Gx = P(\lambda)Gx.$$

Since $P(\lambda)$ is assumed to be invertible we deduce that $Gx = 0$, and hence $x \in \operatorname{Ker}(\lambda - A) = \{0\}$, so $\lambda - B$ is injective. Now let $y \in X$ be arbitrary, and define $x \in X$ by

$$x = (\lambda - A)^{-1}y - H(\lambda)P(\lambda)^{-1}K(\lambda - A)^{-1}y.$$

Straightforward calculations using Proposition 2.4(a) show that $x \in \text{Ker } K$ and that $(\lambda - L)x = y$, so $\lambda - B$ is surjective. It follows from the Lumer–Phillips theorem that B is the generator of a C_0 -semigroup on X , and hence (K, L, G) is an impedance passive boundary node, as required. Furthermore, for $\lambda \in \mathbb{C}_+$ the operator $P(\lambda)$ is invertible and the definitions of P and P_* imply that $P_*(\lambda) = P(\lambda)^{-1}$. In particular, $P_*(\lambda)$ is invertible. If $\lambda \in \rho(A) \cap i\mathbb{R}$ is such that $P(\lambda)$ is invertible then, as was shown above, $\lambda \in \rho(B)$ and by continuity we again have $P_*(\lambda) = P(\lambda)^{-1}$. This also proves that

$$\{\lambda \in \rho(A) \cap i\mathbb{R} : P(\lambda) \text{ is invertible}\} \subseteq \{\lambda \in \rho(B) \cap i\mathbb{R} : P_*(\lambda) \text{ is invertible}\},$$

The reverse inclusion follows on interchanging the roles of G and K . \square

Remark 2.9. It is also possible to interchange the roles of only parts of the inputs and outputs of an impedance passive boundary node (G, L, K) under a strictly weaker condition. To consider this situation let $U = U_1 \times U_2$ and

$$G = \begin{pmatrix} G_1 \\ G_2 \end{pmatrix}, \quad K = \begin{pmatrix} K_1 \\ K_2 \end{pmatrix}, \quad G' = \begin{pmatrix} K_1 \\ G_2 \end{pmatrix}, \quad K' = \begin{pmatrix} G_1 \\ K_2 \end{pmatrix}.$$

Furthermore, let L_1 be the restriction of L to $\text{Ker } G_2$ and let P_1 be the transfer function of the impedance passive boundary node (G_1, L_1, K_1) . Then (G', L, K') is an impedance passive boundary node provided $P_1(\lambda)$ is invertible for some $\lambda \in \rho(A) \cap \overline{\mathbb{C}_+}$. We leave the straightforward modification of the proof of Proposition 2.8 to the reader.

3. ABSTRACT COUPLED SYSTEMS

In this section we study well-posedness and resolvent estimates for coupled systems. We begin by considering the case of two coupled boundary control systems; later in the section, we will consider the case of a boundary control system coupled with a linear system.

Given Hilbert spaces X_1, X_2 and U_1, U_2 , let (G_1, L_1, K_1) and (G_2, L_2, K_2) be two impedance passive boundary nodes on (U_1, X_1, U_1) and (U_2, X_2, U_2) , respectively, and let $J \in \mathcal{B}(U_1, U_2)$. We consider the coupled system

$$(3.1) \quad \begin{cases} \dot{z}_1(t) = L_1 z_1(t), & t \geq 0, \\ \dot{z}_2(t) = L_2 z_2(t), & t \geq 0, \\ G_1 z_1(t) = J^* K_2 z_2(t), & t \geq 0, \\ G_2 z_2(t) = -J K_1 z_1(t), & t \geq 0, \\ z_1(0) \in X_1, z_2(0) \in X_2. \end{cases}$$

We may reformulate this coupled system as an abstract Cauchy problem

$$(3.2) \quad \begin{cases} \dot{z}(t) = Az(t), & t \geq 0, \\ z(0) = z_0, \end{cases}$$

for $z(\cdot) = (z_1(\cdot), z_2(\cdot))^\top$ on $X = X_1 \times X_2$, where $z_0 = (z_1(0), z_2(0))^\top \in X$ and $A: D(A) \subseteq X \rightarrow X$ is defined by $A = \text{diag}(L_1, L_2)$ with domain

$$D(A) = \left\{ \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \in D(L_1) \times D(L_2) : G_1 x_1 = J^* K_2 x_2, G_2 x_2 = -J K_1 x_1 \right\}.$$

We denote by A_j the restriction of L_j to $\text{Ker } G_j$ and we denote the transfer functions of the two boundary nodes by H_j and P_j for $j = 1, 2$.

The following is our main result. It allows us to deduce a growth bound for the resolvent of A from information about the boundary nodes $(G_1 + J^*QJK_1, L_1, K_1)$ and (G_2, L_2, K_2) where $Q \in \mathcal{B}(U_2)$ is a self-adjoint operator such that $Q \geq 0$. We note that $(G_1 + J^*QJK_1, L_1, K_1)$ is indeed an impedance passive boundary node by Remark 2.7. One may think of it as a damped version of the boundary node (G_1, L_1, K_1) , and in the coupled system the damping is replaced by the interconnection with the boundary node (G_2, L_2, K_2) .

Theorem 3.1. *Let (G_1, L_1, K_1) and (G_2, L_2, K_2) be two impedance passive boundary nodes on the spaces (U_1, X_1, U_1) and (U_2, X_2, U_2) , respectively, let $J \in \mathcal{B}(U_1, U_2)$ and let $Q \in \mathcal{B}(U_2)$ be a self-adjoint operator such that $Q \geq 0$. Let A_0 denote the restriction of L_1 to $\text{Ker}(G_1 + J^*QJK_1)$ and let H_0 be the transfer function of $(G_1 + J^*QJ, L_1, K_1)$. Suppose there exists a non-empty set $E \subseteq \{s \in \mathbb{R} : is \in \rho(A_0) \cap \rho(A_2)\}$, and let $N_0, M_0, M_2: E \rightarrow [r, \infty)$, for some $r > 0$, be such that $\|H_0(is)\| \leq N_0(s)$, $s \in E$, and*

$$\|(is - A_j)^{-1}\| \leq M_j(s), \quad s \in E,$$

for $j = 0, 2$. Suppose furthermore that there exists a function $\eta: E \rightarrow (0, \infty)$ such that $\text{Re } P_2(is) \geq \eta(s)I$ for all $s \in E$. Then A generates a contraction semigroup on X , $iE \subseteq \rho(A)$ and

$$(3.3) \quad \|(is - A)^{-1}\| \lesssim M_0(s) + N_0(s)^2 M_2(s)^2 \frac{\mu(s)}{\eta(s)}, \quad s \in E,$$

where $\mu(s) = 1 + \|P_2(1 + is)\|^2$ for $s \in \mathbb{R}$.

Remark 3.2. Theorem 3.1 is particularly useful if we may choose $E = \mathbb{R}$ or $E = \mathbb{R} \setminus \{0\}$. We also note that the estimate in (3.3) simplifies in some important cases. For instance, if the semigroup generated by A_j is exponentially stable for $j = 0$ or $j = 2$, then we may take the corresponding function M_j to be constant. Moreover, if $Q \geq cI$ for some $c > 0$ (in particular, if $Q = I$), then Proposition 2.6 implies that $N_0(s)^2 \lesssim M_0(s)$, $s \in E$, on the right-hand side of (3.3). Finally, by [33, Lem. 13.1.10] the function μ is bounded if (G_2, L_2, K_2) is an (externally) well-posed boundary node in the sense of [33, Def. 13.1.3].

Remark 3.3. In coupled PDE models it is often natural to consider boundary nodes in which the codomains either of G_1 and K_1 or of G_2 and K_2 do not coincide but instead are each other's (conjugate) dual spaces with respect to an intermediate *pivot space*. As described in Remark 2.1, this case can be reduced to the situation considered in Theorem 3.1, and in particular the result still applies once the appropriate Riesz–Fréchet isomorphism is taken into consideration.

The first step towards proving Theorem 3.1 is the following result.

Lemma 3.4. *Let X_1, X_2 and U_1, U_2 be Hilbert spaces, and let (G_1, L_1, K_1) and (G_2, L_2, K_2) be two impedance passive boundary nodes on (U_1, X_1, U_1) and (U_2, X_2, U_2) , respectively, and let $J \in \mathcal{B}(U_1, U_2)$. Suppose that $\lambda \in \rho(A_2) \cap \overline{\mathbb{C}_+}$ is such that $\text{Re } P_2(\lambda) \geq cI$ for some $c > 0$, and define $S_\lambda: D(S_\lambda) \subseteq X_1 \rightarrow X_1$ by*

$$S_\lambda = \lambda - L_1, \quad D(S_\lambda) = \text{Ker}(G_1 + J^*P_2(\lambda)JK_1).$$

Then $\lambda \in \rho(A)$ if and only if S_λ is invertible, and if S_λ is invertible then there exists $H_\lambda \in \mathcal{B}(U_1, X)$ such that $\text{Ran } H_\lambda \subseteq \text{Ker}(\lambda - L_1)$,

$$(G_1 + J^*P_2(\lambda)JK_1)H_\lambda = I$$

and $(\lambda - A)^{-1}$ is given by

$$\begin{pmatrix} S_\lambda^{-1} & H_\lambda J^* K_2 (\lambda - A_2)^{-1} \\ -H_2(\lambda) JK_1 S_\lambda^{-1} & (\lambda - A_2)^{-1} - H_2(\lambda) JK_1 H_\lambda J^* K_2 (\lambda - A_2)^{-1} \end{pmatrix}.$$

Finally, if $\lambda \in i\mathbb{R}$ then S_λ is invertible if and only if it is bounded below.

Proof. Let $\lambda \in \rho(A_2) \cap \overline{\mathbb{C}_+}$ be such that $\text{Re } P_2(\lambda) \geq cI$ for some $c > 0$. By Proposition 2.6, $(G_1 + J^*P_2(\lambda)JK_1, L_1, K_1)$ is an impedance passive boundary node. Suppose first that S_λ is invertible. Then the existence of H_λ with the required properties follows from [54, Rem. 10.1.5 & Prop. 10.1.2]. We will show that $\lambda \in \rho(A)$ and verify the formula for $(\lambda - A)^{-1}$. Let

$$R_\lambda = \begin{pmatrix} S_\lambda^{-1} & H_\lambda J^* K_2 (\lambda - A_2)^{-1} \\ -H_2(\lambda) JK_1 S_\lambda^{-1} & (I - H_2(\lambda) JK_1 H_\lambda J^* K_2) (\lambda - A_2)^{-1} \end{pmatrix}.$$

We have $G_2 H_2(\lambda) = I$ and $K_2 H_2(\lambda) = P_2(\lambda)$ by Proposition 2.4(a), and $G_2(\lambda - A_2)^{-1} = 0$ as $\text{Ran}(\lambda - A_2)^{-1} = D(A_2) = \text{Ker } G_2$. Straightforward calculations based on these identities and the defining properties of H_λ show that R_λ maps into $D(A)$ and that $(\lambda - A)R_\lambda = I$. It remains to prove that R_λ is a left-inverse of $\lambda - A$. To this end, let $x = (x_1, x_2)^\top \in D(A)$ and define $y = (y_1, y_2)^\top$ by $y = R_\lambda(\lambda - A)x$. We aim to show that $y = x$. Using the fact that S_λ^{-1} maps into $\text{Ker}(G_1 + J^*P_2(\lambda)JK_1)$, the properties of H_λ and Proposition 2.4(a) we obtain

$$\begin{aligned} (G_1 + J^*P_2(\lambda)JK_1)y_1 &= J^*K_2(\lambda - A_2)^{-1}(\lambda - L_2)x_2 \\ &= J^*K_2x_2 - J^*P_2(\lambda)G_2x_2 \\ &= (G_1 + J^*P_2(\lambda)JK_1)x_1, \end{aligned}$$

where in the final step we have used that $J^*K_2x_2 = G_1x_1$ and $G_2x_2 = -JK_1x_1$. Hence $y_1 - x_1 \in \text{Ker}(G_1 + J^*P_2(\lambda)JK_1) = D(S_\lambda)$. Moreover, since $\text{Ran } H_\lambda \subseteq \text{Ker}(\lambda - L_1)$, we have

$$(\lambda - L_1)y_1 = (\lambda - L_1)S_\lambda^{-1}(\lambda - L_1)x_1 = (\lambda - L_1)x_1,$$

and hence $y_1 - x_1 \in \text{Ker } S_\lambda = \{0\}$, giving $y_1 = x_1$. Another application of Proposition 2.4(a) gives

$$\begin{aligned} y_2 &= -H_2(\lambda)JK_1y_1 + (\lambda - A_2)^{-1}(\lambda - L_2)x_2 \\ &= x_2 - H_2(\lambda)(JK_1x_1 + G_2x_2) = x_2, \end{aligned}$$

and hence $y = x$. Thus $\lambda \in \rho(A)$ and $R_\lambda = (\lambda - A)^{-1}$, as required.

Now suppose conversely that $\lambda \in \rho(A)$. We prove that S_λ is invertible. In order to prove that it is injective, suppose that $x_1 \in \text{Ker } S_\lambda$. Then $(G_1 + J^*P_2(\lambda)JK_1)x_1 = 0$ and $(\lambda - L_1)x_1 = 0$. Let $x_2 = -H_2(\lambda)JK_1x_1 \in D(L_2)$. Then $x_2 \in \text{Ker}(\lambda - L_2)$, $G_2x_2 = -JK_1x_1$ and $J^*K_2x_2 = -J^*P_2(\lambda)JK_1x_1 = G_1x_1$ by Proposition 2.4(a), so $(x_1, x_2)^\top \in \text{Ker}(\lambda - A)$. Since $\lambda - A$ is assumed to be invertible, this implies in particular that $x_1 = 0$, and hence S_λ is injective. Now suppose that $y_1 \in X_1$. Let $y = (y_1, 0)^\top \in X$ and define

$x = (x_1, x_2)^\top \in D(A)$ by $x = (\lambda - A)^{-1}y$. Since $x_2 \in \text{Ker}(\lambda - L_2)$ we may use Proposition 2.4(a) to obtain

$$G_1x_1 = J^*K_2x_2 = J^*K_2H_2(\lambda)G_2x_2 = -J^*P_2(\lambda)JK_1x_1,$$

and hence $x_1 \in \text{Ker}(G_1 + J^*P_2(\lambda)JK_1) = D(S_\lambda)$. Since $S_\lambda x_1 = (\lambda - L_1)x_1 = y_1$, it follows that S_λ is surjective. Since L_1 is closed by Lemma 2.3, a straightforward argument shows that S_λ is closed. It follows that S_λ is invertible, as required.

Finally, since the restriction of L_1 to $\text{Ker}(G_1 + J^*P_2(\lambda)JK_1)$ generates a contraction semigroup on X_1 , it follows from [8, Lem. 2.3], or alternatively from [9, Prop. 4.3.1 & Cor. 4.3.5], that if $\lambda \in i\mathbb{R}$ then S_λ has dense range whenever it is injective. In particular, S_λ is invertible if and only if it is bounded below. \square

Proposition 3.5. *Let (G_1, L_1, K_1) and (G_2, L_2, K_2) be two impedance passive boundary nodes on the spaces (U_1, X_1, U_1) and (U_2, X_2, U_2) , respectively, and let $J \in \mathcal{B}(U_1, U_2)$. If $\text{Re } P_2(\lambda)$ is invertible for some $\lambda \in \rho(A_2) \cap \overline{\mathbb{C}}_+$, then A generates a contraction semigroup on X .*

Proof. Let $x = (x_1, x_2)^\top \in D(A)$. By impedance passivity of (G_1, L_1, K_1) and (G_2, L_2, K_2) we have

$$\begin{aligned} \text{Re}\langle Ax, x \rangle_X &= \text{Re}\langle L_1x_1, x_1 \rangle_{X_1} + \text{Re}\langle L_2x_2, x_2 \rangle_{X_2} \\ &\leq \text{Re}\langle G_1x_1, K_1x_1 \rangle_{U_1} + \text{Re}\langle G_2x_2, K_2x_2 \rangle_{U_2} \\ &= \text{Re}\langle J^*K_2x_2, K_1x_1 \rangle_{U_1} - \text{Re}\langle JK_1x_1, K_2x_2 \rangle_{U_2} = 0, \end{aligned}$$

so A is dissipative. Let $\lambda \in \overline{\mathbb{C}}_+ \cap \rho(A_2)$ be such that $\text{Re } P_2(\lambda)$ is invertible. By continuity of the map $\lambda \mapsto \text{Re } P_2(\lambda)$ on a neighbourhood of λ we may assume without loss of generality that $\lambda \in \mathbb{C}_+$. Then $\lambda \in \rho(A_1)$ and $\text{Re } P_j(\lambda) \geq 0$ for $j = 1, 2$, by Proposition 2.4(b). Since $\text{Re } P_2(\lambda)$ is invertible, it follows that $\text{Re } P_2(\lambda) \geq cI$ for some $c > 0$. Using [48, Lem. A.1(a)], we see that $P_2(\lambda)$ is invertible and $\text{Re } P_2(\lambda)^{-1} \geq c\|P_2(\lambda)\|^{-2}I$. Hence

$$\text{Re}(J^*P_1(\lambda)J + P_2(\lambda)^{-1}) \geq \text{Re } P_2(\lambda)^{-1} \geq c\|P_2(\lambda)\|^{-2}I,$$

so $J^*P_1(\lambda)J + P_2(\lambda)^{-1}$ is invertible. Define $R_\lambda \in \mathcal{B}(X_1)$ by

$$R_\lambda = (\lambda - A_1)^{-1} - H_1(\lambda)J^*J(J^*P_1(\lambda)J + P_2(\lambda)^{-1})^{-1}J^*JK_1(\lambda - A_1)^{-1}.$$

Straightforward computations using Proposition 2.4(a) show that $\text{Ran } R_\lambda \subseteq \text{Ker}(G_1 + J^*P_2(\lambda)JK_1) = D(S_\lambda)$ and that R_λ is the inverse for S_λ . Hence S_λ is invertible, and it follows from Lemma 3.4 that $\lambda \in \rho(A)$. In particular, $\lambda - A$ is surjective and hence maximally dissipative. By the Lumer–Phillips theorem A generates a contraction semigroup. \square

We now prove Theorem 3.1.

Proof of Theorem 3.1. Let $s \in E$. Since $is \in \rho(A_2)$ and $\text{Re } P_2(is)$ is invertible by our assumptions, it follows from Proposition 3.5 that A generates a contraction semigroup on X . Furthermore, by Lemma 3.4 we have $is \in \rho(A)$ provided the operator $S_{is}: D(S_{is}) \subseteq X_1 \rightarrow X_1$ defined by $S_{is} = is - L_1$ with domain $D(S_{is}) = \text{Ker}(G_1 + J^*P_2(is)JK_1)$ is bounded below. Let $x \in D(S_{is})$ and let $y = S_{is}x$. Then $(G_1 + J^*P_2(is)JK_1)x = 0$, so $(G_1 + J^*QJK_1)x = J^*(Q - P_2(is))JK_1x$. Moreover $(is - L_1)x = y$, so

$(is - A_0)^{-1}y = x - H_0(is)(G_1 + J^*QJK_1)x$ by Proposition 2.4(a), where H_0 denotes the transfer function associated with the boundary node $(G_1 + J^*QJK_1, L_1, K_1)$. Hence

$$(3.4) \quad x = (is - A_0)^{-1}y + H_0(is)J^*(Q - P_2(is))JK_1x.$$

Applying Proposition 2.6(a) with $P_2(is)$ taking the place of the operator Q appearing there, we find that

$$(3.5) \quad \operatorname{Re}\langle y, x \rangle = -\operatorname{Re}\langle L_1x, x \rangle \geq \eta(s)\|JK_1x\|^2,$$

and hence $\|JK_1x\|^2 \leq \eta(s)^{-1}\|x\|\|y\|$. Using the formula (3.4), the estimates $\|(is - A_0)^{-1}\| \leq M_0(s)$ and $\|H_0(is)\| \leq N_0(s)$ along with Young's inequality we get

$$\begin{aligned} \|x\| &\leq M_0(s)\|y\| + N_0(s)(\|Q\| + \|P_2(is)\|) \left(\frac{\|x\|\|y\|}{\eta(s)} \right)^{1/2} \\ &\leq \frac{\|x\|}{2} + \left(M_0(s) + \frac{N_0(s)^2(\|Q\|^2 + \|P_2(is)\|^2)}{\eta(s)} \right) \|y\|. \end{aligned}$$

Since $\|P_2(is)\| \geq \eta(s)$ and, by Proposition 2.4(b), $\|P_2(is)\| \lesssim M_2(s)\|P_2(1 + is)\|$, we deduce that $\|x\| \lesssim (M_0(s) + N_0(s)^2M_2(s)^2\mu(s)\eta(s)^{-1})\|S_{is}x\|$, where the implicit constant is independent of s (as will be the case throughout the remainder of this proof). In particular, the operator S_{is} is bounded below and hence invertible, so that $is \in \rho(A)$. Furthermore,

$$(3.6) \quad \|S_{is}^{-1}\| \lesssim M_0(s) + N_0(s)^2M_2(s)^2\frac{\mu(s)}{\eta(s)},$$

giving an upper bound for the top left entry in the matrix representing $(is - A)^{-1}$ in Lemma 3.4.

We now estimate the remaining three entries of $(is - A)^{-1}$. Since $s \in E$ is fixed and $\operatorname{Re} P_2(is) \geq \eta(s)I$ with $\eta(s) > 0$, we have from Proposition 2.6 that $(G_1 + J^*P_2(is)JK_1, L_1, K_1)$ is an impedance passive boundary node. We note that H_{is} coincides with the first transfer function associated with this boundary node evaluated at is . Since S_{is} is the restriction of $is - L_1$ to $\operatorname{Ker}(G_1 + J^*P_2(is)JK_1)$ and since S_{is} is invertible, the estimates in Proposition 2.6(c) give

$$\begin{aligned} \|JK_1S_{is}^{-1}\|^2 &\leq \eta(s)^{-1}\|S_{is}^{-1}\|, \\ \|H_{is}J^*\|^2 &\leq \eta(s)^{-1}\|S_{is}^{-1}\|, \\ \|JK_1H_{is}J^*\| &\leq \eta(s)^{-1}. \end{aligned}$$

Since Proposition 2.4(b) implies that $\|H_2(is)\| \lesssim M_2(s)\|P_2(1 + is)\|^{1/2}$ and $\|K_2(is - A_2)^{-1}\| \lesssim M_2(s)\|P_2(1 + is)\|^{1/2}$, we may estimate the top right and bottom left entries of $(is - A)^{-1}$ by

$$\begin{aligned} \|H_{is}J^*K_2(is - A_2)^{-1}\| &\lesssim \frac{M_2(s)\mu(s)^{1/4}}{\eta(s)^{1/2}} \left(M_0(s) + N_0(s)^2M_2(s)^2\frac{\mu(s)}{\eta(s)} \right)^{1/2} \\ &\lesssim \frac{M_2(s)\mu(s)^{1/4}}{\eta(s)^{1/2}} M_0(s)^{1/2} + N_0(s)M_2(s)^2\frac{\mu(s)^{3/4}}{\eta(s)} \\ &\lesssim M_0(s) + N_0(s)^2M_2(s)^2\frac{\mu(s)}{\eta(s)} \end{aligned}$$

and, analogously,

$$\|H_2(is)JK_1S_{is}^{-1}\| \lesssim M_0(s) + N_0(s)^2M_2(s)^2\frac{\mu(s)}{\eta(s)}.$$

Finally, since

$$M_2(s) \leq M_2(s)\frac{\|P_2(is)\|}{\eta(s)} \lesssim M_2(s)^2\frac{\|P_2(1+is)\|}{\eta(s)},$$

we may bound the bottom right entry of $(is - A)^{-1}$ by

$$M_2(s) + \|H_2(is)\| \|JK_1H_{is}J^*\| \|K_2(is - A_2)^{-1}\| \lesssim M_2(s)^2\frac{\|P_2(1+is)\|}{\eta(s)}.$$

Now (3.3) follows from the formula for $(is - A)^{-1}$ given in Lemma 3.4 together with (3.6) and our estimates for the remaining three matrix entries. \square

Remark 3.6. A variant of Theorem 3.1 remains true even without the real part of $P_2(is)$ being strictly positive for certain $is \in \rho(A_1) \cap \rho(A_2)$. This can be seen by using a perturbation formula similar to (2.7) to analyse S_{is}^{-1} . Indeed, given $is \in \rho(A_1) \cap \rho(A_2)$ it is possible to show that $is \in \rho(A)$ if and only if $I + J^*P_2(is)JP_1(is)$ is invertible, and in this case we may obtain a bound for $\|(is - A)^{-1}\|$ in terms of $M_2(s)$, $\mu(s)$ and the norms of $(is - A_1)^{-1}$, $P_1(1 + is)$ and $(I + J^*P_2(is)JP_1(is))^{-1}$.

Since Theorem 3.1 applies in cases where $\sigma(A) \cap i\mathbb{R}$ may be non-empty, it is sometimes useful to know that $\sigma(A) \cap i\mathbb{R}$ cannot be too large. This is true in particular whenever A has compact resolvent. The following simple lemma gives natural sufficient conditions for this to be the case.

Lemma 3.7. *Let (G_1, L_1, K_1) and (G_2, L_2, K_2) be two impedance passive boundary nodes on (U_1, X_1, U_1) and (U_2, X_2, U_2) , respectively, and assume that $\operatorname{Re} P_2(\lambda)$ is invertible for some $\lambda \in \rho(A_2) \cap \overline{\mathbb{C}_+}$. If both A_1 and A_2 have compact resolvent and if $J \in \mathcal{B}(U_1, U_2)$ is compact, then A has compact resolvent.*

Proof. As in the proof of Proposition 3.5 we may assume without loss of generality that $\operatorname{Re} P_2(\lambda)$ is invertible for some $\lambda \in \mathbb{C}_+$. The proof of Proposition 3.5 shows that S_λ is invertible and that

$$S_\lambda^{-1} = (\lambda - A_1)^{-1} - H_1(\lambda)J^*J(J^*P_1(\lambda)J + P_2(\lambda)^{-1})^{-1}J^*JK_1(\lambda - A_1)^{-1}.$$

Hence $\lambda \in \rho(A)$ and $(\lambda - A)^{-1}$ has the form given in Lemma 3.4. Since $(\lambda - A_1)^{-1}$ and J are assumed to be compact, the same is true for S_λ^{-1} . Our assumptions further ensure that the remaining operators appearing in the formula in Lemma 3.4 are compact, so $(\lambda - A)^{-1}$ too is compact. \square

We close this section by analysing a boundary control system coupled with an infinite-dimensional linear system. More specifically, we consider the system

$$\begin{cases} \dot{z}_1(t) = L_1z_1(t), & t \geq 0, \\ \dot{z}_2(t) = A_2z_2(t) - B_2JK_1z_1(t), & t \geq 0, \\ G_1z_1(t) = J^*C_2z_2(t) - J^*D_2JK_1z_1(t), & t \geq 0, \\ z_1(0) \in X_1, z_2(0) \in X_2. \end{cases}$$

Here X_1, X_2, U_1 and U_2 are Hilbert spaces, (G_1, L_1, K_1) is an impedance passive boundary node on (U_1, X_1, U_1) , $A_2: D(A_2) \subseteq X_2 \rightarrow X_2$ is the generator of a contraction semigroup on X_2 and $B_2 \in \mathcal{B}(U_2, X_2)$, $C_2 \in \mathcal{B}(X_2, U_2)$, $D_2 \in \mathcal{B}(U_2)$ and $J \in \mathcal{B}(U_1, U_2)$ are bounded operators. In applications, the linear dynamical system (A_2, B_2, C_2, D_2) might describe an ordinary differential equation, or a PDE with *distributed* input and output. We reformulate this coupled system as an abstract Cauchy problem

$$\begin{cases} \dot{z}(t) = Az(t), & t \geq 0, \\ z(0) = z_0, \end{cases}$$

where $z_0 = (z_1(0), z_2(0))^\top \in X$, X is the product Hilbert space $X_1 \times X_2$ and $A: D(A) \subseteq X \rightarrow X$ is the operator

$$A = \begin{pmatrix} L_1 & 0 \\ -B_2JK_1 & A_2 \end{pmatrix}$$

with domain

$$D(A) = \left\{ \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \in D(L_1) \times D(A_2) : (G_1 + J^*D_2JK_1)x_1 = J^*C_2x_2 \right\}.$$

Theorem 3.8. *Given Hilbert spaces X_1, X_2, U_1 and U_2 let (G_1, L_1, K_1) be an impedance passive boundary node on (U_1, X_1, U_1) , let $A_2: D(A_2) \subseteq X_2 \rightarrow X_2$ be a linear operator such that $\lambda - A_2$ is surjective for some $\lambda \in \mathbb{C}_+$ and let $B_2 \in \mathcal{B}(U_2, X_2)$, $C_2 \in \mathcal{B}(X_2, U_2)$ and $D_2 \in \mathcal{B}(U_2)$ be such that*

$$(3.7) \quad \operatorname{Re}\langle A_2x + B_2u, x \rangle_{X_2} \leq \operatorname{Re}\langle C_2x + D_2u, u \rangle_{U_2}, \quad x \in D(A_2), \quad u \in U_2.$$

*Let $J \in \mathcal{B}(U_1, U_2)$, let $Q \in \mathcal{B}(U_2)$ be a self-adjoint operator such that $Q \geq 0$, let A_0 denote the restriction of L_1 to $\operatorname{Ker}(G_1 + J^*QJK_1)$ and let H_0 be the transfer function of $(G_1 + J^*QJ, L_1, K_1)$. Suppose there exists a non-empty set $E \subseteq \{s \in \mathbb{R} : is \in \rho(A_0) \cap \rho(A_2)\}$. Furthermore, let $N_0, M_0, M_2: E \rightarrow [r, \infty)$, for some $r > 0$, be such that $\|H_0(is)\| \leq N_0(s)$, $s \in E$, and*

$$\|(is - A_j)^{-1}\| \leq M_j(s), \quad s \in E,$$

for $j = 0, 2$, and suppose there exists a function $\eta: E \rightarrow (0, \infty)$ such that

$$(3.8) \quad \operatorname{Re}\langle C_2(is - A_2)^{-1}B_2 + D_2 \rangle \geq \eta(s)I, \quad s \in E.$$

Then A generates a contraction semigroup on X , $iE \subseteq \rho(A)$ and

$$(3.9) \quad \|(is - A)^{-1}\| \lesssim M_0(s) + \frac{N_0(s)^2 M_2(s)^2}{\eta(s)}, \quad s \in E.$$

Proof. We begin by noting that (3.7) applied with $u = 0$ shows that A_2 is dissipative. Since $\lambda - A_2$ is assumed to be surjective for some $\lambda \in \mathbb{C}_+$ it follows from the Lumer–Phillips theorem that A_2 generates a contraction semigroup on X_2 . Let $x = (x_1, x_2)^\top \in D(A)$. Then $G_1x_1 = J^*C_2x_2 - J^*D_2JK_1x_1$ and hence

$$\begin{aligned} \operatorname{Re}\langle Ax, x \rangle_X &= \operatorname{Re}\langle L_1x_1, x_1 \rangle_{X_1} + \operatorname{Re}\langle A_2x_2 - B_2JK_1x_1, x_2 \rangle_{X_2} \\ &\leq \operatorname{Re}\langle G_1x_1, K_1x_1 \rangle_{U_1} + \operatorname{Re}\langle C_2x_2 - D_2JK_1x_1, -JK_1x_1 \rangle_{U_2} = 0. \end{aligned}$$

It follows that A is dissipative. Define $P_2: \rho(A_2) \rightarrow \mathcal{B}(U_2)$ and $H_2: \rho(A_2) \rightarrow \mathcal{B}(U_2, X_2)$ by $P_2(\lambda) = C_2(\lambda - A_2)^{-1}B_2 + D_2$ and $H_2(\lambda) = (\lambda - A_2)^{-1}B_2$, respectively, for all $\lambda \in \rho(A_2)$. Moreover, define $S_\lambda: D(S_\lambda) \subseteq X_1 \rightarrow X_1$ by

$$S_\lambda = \lambda - L_1, \quad D(S_\lambda) = \text{Ker}(G_1 + J^*P_2(\lambda)JK_1)$$

for all $\lambda \in \rho(A_2)$. As in the proof of Lemma 3.4 we may show that if $\lambda \in \rho(A_2) \cap \overline{\mathbb{C}_+}$ is such that $\text{Re } P_2(\lambda) \geq cI$ for some $c > 0$ and S_λ is invertible, then $\lambda \in \rho(A)$. Moreover, there exists $H_\lambda \in \mathcal{B}(U_1, X_1)$ such that $\text{Ran } H_\lambda \subseteq \text{Ker}(\lambda - L_1)$, $(G_1 + J^*P_2(\lambda)JK_1)H_\lambda = I$ and the inverse of $\lambda - A$ is given by

$$\begin{pmatrix} S_\lambda^{-1} & H_\lambda J^* C_2 (\lambda - A_2)^{-1} \\ -H_2(\lambda) J K_1 S_\lambda^{-1} & (\lambda - A_2)^{-1} - H_2(\lambda) J K_1 H_\lambda J^* C_2 (\lambda - A_2)^{-1} \end{pmatrix}.$$

Finally, if $\lambda \in i\mathbb{R}$ then S_λ is invertible provided it is bounded below. We note that $\|P_2(is)\| \lesssim M_2(s)$ for $s \in E$ and, since A_2 generates a contraction semigroup on X_2 , we have $\sup_{s \in \mathbb{R}} \|(1 + is - A_2)^{-1}\| < \infty$. Now let $s \in E$, and let $\lambda = is$. Then $\lambda \in \rho(A_2) \cap \overline{\mathbb{C}_+}$ by definition of E and $\text{Re } P_2(\lambda) \geq \eta(s)I$ by (3.8). Since the operators B_2 , C_2 and D_2 are bounded, we may show as in the proof of Theorem 3.1 that S_λ is bounded below and therefore invertible. Thus $\lambda \in \rho(A)$, so A is maximally dissipative and hence generates a contraction semigroup on X by the Lumer–Phillips theorem. The estimate $\|(is - A)^{-1}\| \lesssim M_0(s) + N_0(s)^2 M_2(s)^2 \eta(s)^{-1}$ for $s \in E$ follows more or less exactly as in the proof of Theorem 3.1, using the fact that the structure of $(\lambda - A)^{-1}$ is analogous to that of the matrix appearing in Lemma 3.4. \square

Remark 3.9. In the terminology of [52, Def. 4.1], condition (3.7) means that the system (A_2, B_2, C_2, D_2) is *impedance passive*. It follows in particular that $\text{Re}(C_2(\lambda - A_2)^{-1}B_2 + D_2) \geq 0$ for all $\lambda \in \rho(A_2) \cap \overline{\mathbb{C}_+}$. One important case in which condition (3.7) is satisfied is if A_2 is assumed to be the generator of a contraction semigroup on X_2 , $B_2 = C_2^*$ and $D_2 = 0$ (or, more generally, $\text{Re } D_2 \geq 0$).

Remark 3.10. The simplifications described in Remark 3.2 also apply in the context of Theorem 3.8, and in particular we have $N_0(s)^2 \lesssim M_0(s)$, $s \in E$, provided that $Q \geq cI$ for some $c > 0$ (in particular, if $Q = I$). Furthermore, Remark 3.6 applies also in the setting of Theorem 3.8. In particular, given $is \in \rho(A_1) \cap \rho(A_2)$ it is possible to show that $is \in \rho(A)$ if and only if $I + J^*P_2(is)JP_1(is)$ is invertible, where $P_2(is) = C_2(is - A_2)^{-1}B_2 + D_2$.

Remark 3.11. We point out that, as in Remark 3.3, Theorem 3.8 extends to the case where the codomains of G_1 and K_1 are not the same but merely each other's (conjugate) dual spaces, as described in Remark 2.1.

4. STABILITY OF COUPLED PDE MODELS

In this section we shall apply the results of Section 3 in order to study the quantitative asymptotic behaviour of several concrete examples of coupled PDE models. We approach such systems by first reformulating them as an abstract Cauchy problem of the form

$$(4.1) \quad \begin{cases} \dot{z}(t) = Az(t), & t \geq 0, \\ z(0) = z_0 \in X, \end{cases}$$

where $A: D(A) \subseteq X \rightarrow X$ generates a contraction semigroup $(T(t))_{t \geq 0}$ on some appropriately chosen Hilbert space X . In this case the orbit $T(\cdot)z_0$ corresponding to the initial data $z_0 \in X$ is a (*mild*) *solution* of the abstract Cauchy problem (4.1), and it is a *classical solution* of (4.1) precisely when $z_0 \in D(A)$. We refer the reader to [9, Ch. 3] for further details on abstract Cauchy problems and C_0 -semigroups.

A C_0 -semigroup $(T(t))_{t \geq 0}$ on a Banach space X is said to be *strongly stable* if $\|T(t)x\| \rightarrow 0$ as $t \rightarrow \infty$ for all $x \in X$, and the semigroup $(T(t))_{t \geq 0}$ is said to be *uniformly exponentially stable* if there exist constants $M, \omega > 0$ such that $\|T(t)\| \leq Me^{-\omega t}$ for all $t \geq 0$. Theorems 3.1 and 3.8 may be used to give sufficient conditions for classes of the abstract coupled systems we have studied to lead to an exponentially stable semigroup and hence give a uniform decay rate for all solutions; see in particular Remark 3.2. However, in many cases of interest the resolvent is not uniformly bounded along the imaginary axis, and in this case one cannot hope to obtain a decay rate that is uniform for *all* solutions. Nevertheless, it is possible to obtain rates of decay for all *classical* solutions of the abstract Cauchy problem provided one is able to estimate the growth of the resolvent of the imaginary axis. We now formulate a general result which will allow us to convert the resolvent estimates obtained in Theorems 3.1 and 3.8 into decay rates for suitable semigroup orbits. Recall to this end that a measurable function $M: \mathbb{R}_+ \rightarrow (0, \infty)$ is said to have *positive increase* if there exist constants $c \in (0, 1]$ and $\alpha, s_0 > 0$ such that

$$\frac{M(\lambda s)}{M(s)} \geq c\lambda^\alpha, \quad \lambda \geq 1, s \geq s_0.$$

This class of functions includes all *regularly varying* functions, and in particular functions given by $M(s) = Cs^\alpha \log(s)^\beta$ for $s \geq s_0$, where $C, \alpha > 0$, $s_0 > 1$ and $\beta \in \mathbb{R}$; see [15, Sect. 2] and [50, Sect. 2] for further details. Given a continuous non-increasing function $M: \mathbb{R}_+ \rightarrow (0, \infty)$ we denote by M^{-1} the maximal right-inverse of M , defined by $M^{-1}(t) = \sup\{s \geq 0 : M(s) \leq t\}$ for $t \geq M(0)$.

Theorem 4.1. *Let $(T(t))_{t \geq 0}$ be a bounded C_0 -semigroup on a Hilbert space X , with generator A , and suppose that $i\mathbb{R} \subseteq \rho(A)$. Then the following hold:*

- (a) *The semigroup $(T(t))_{t \geq 0}$ is strongly stable.*
- (b) *The semigroup $(T(t))_{t \geq 0}$ is uniformly exponentially stable if and only if $\sup_{s \in \mathbb{R}} \|(is - A)^{-1}\| < \infty$.*
- (c) *Suppose that $M: [0, \infty) \rightarrow (0, \infty)$ is a continuous function of positive increase such that $\|(is - A)^{-1}\| \lesssim M(|s|)$ for all $s \in \mathbb{R}$. Then*

$$\|T(t)z_0\| = O\left(\frac{1}{M^{-1}(t)}\right), \quad t \rightarrow \infty,$$

for all $z_0 \in D(A)$. Furthermore, if there exists $\alpha > 0$ such that $\|(is - A)^{-1}\| \lesssim 1 + |s|^\alpha$ for all $s \in \mathbb{R}$ then $\|T(t)z_0\| = o(t^{-1/\alpha})$ as $t \rightarrow \infty$ for all $z_0 \in D(A)$.

Proof. Part (a) is a special case of the theorem of Arendt–Batty and Lyubich–Vũ [30, Thm. V.2.21], while part (b) follows from the Gearhart–Prüss theorem [30, Thm. V.1.11]. For (c), we note that $\|T(t)A^{-1}\| = O(M^{-1}(t)^{-1})$

as $t \rightarrow \infty$ by [50, Prop. 2.2 & Thm. 3.2], so the first statement follows from the fact that A^{-1} maps onto $D(A)$. The final statement is proved in [20, Thm. 2.4]. \square

Remark 4.2. In part (c) it is also possible to pass, conversely, from a decay rate for classical solutions to a growth estimate for the resolvent of the semigroup generator along the imaginary axis. Indeed, suppose that A generates a bounded C_0 -semigroup on a Banach space X and that $m: \mathbb{R}_+ \rightarrow (0, \infty)$ is a continuous non-increasing function such that $m(t) \rightarrow 0$ as $t \rightarrow \infty$ and $\|T(t)(\lambda - A)^{-1}\| \leq m(t)$ for some $\lambda \in \rho(A)$ and all $t \geq 0$. Then $i\mathbb{R} \subseteq \rho(A)$ and

$$\|(is - A)^{-1}\| = O\left(m^{-1}\left(\frac{c}{|s|}\right)\right), \quad |s| \rightarrow \infty,$$

for every $c \in (0, 1)$. Here we take m^{-1} to be the minimal right-inverse of m , defined by $m^{-1}(s) = \inf\{t \geq 0 : m(t) \geq s\}$ for $0 < s \leq m(0)$. For a proof of this result we refer the reader to [16, Prop. 1.3] and [9, Thm. 4.4.14]. A recent survey of results in the asymptotic theory of C_0 -semigroups more generally may be found in [25].

Combining Theorem 4.1 with Theorems 3.1 and 3.8 we obtain the following result describing the asymptotic behaviour of abstract coupled systems considered in Section 3.

Corollary 4.3. *Consider the setting of either Theorem 3.1 or Theorem 3.8 with $E = \mathbb{R}$, and let $M: [0, \infty) \rightarrow (0, \infty)$ be such that*

$$M_0(s) + N_0(s)^2 M_2(s)^2 \frac{\mu(s)}{\eta(s)} \lesssim M(|s|), \quad s \in \mathbb{R},$$

where we set $\mu(s) = 1$ for all $s \in \mathbb{R}$ in the setting of Theorem 3.8. Then the operator A generates a contraction semigroup $(T(t))_{t \geq 0}$, and the following hold:

- (a) *The semigroup $(T(t))_{t \geq 0}$ is strongly stable.*
- (b) *If M may be taken to be bounded, then $(T(t))_{t \geq 0}$ is uniformly exponentially stable.*
- (c) *If M is continuous and has positive increase, then*

$$\|T(t)z_0\| = O\left(\frac{1}{M^{-1}(t)}\right), \quad t \rightarrow \infty,$$

for all $z_0 \in D(A)$. Furthermore, if there exists $\alpha > 0$ and $s_0 \geq 0$ such that $M(s) = 1 + s^\alpha$ for all $s \geq s_0$ then $\|T(t)z_0\| = o(t^{-1/\alpha})$ as $t \rightarrow \infty$ for all $z_0 \in D(A)$.

In the remainder of this section, we use our abstract results in order to study energy decay in several concrete PDE models. We reformulate each of these systems as an abstract Cauchy problem (4.1) in such a way that the energy $E(t)$ of a solution with initial data $z_0 \in X$, when defined in a natural way, is proportional to $\|T(t)z_0\|^2$ for all $t \geq 0$.

4.1. A one-dimensional wave-heat system. We begin by considering the coupled wave-heat system on a one-dimensional spatial domain, namely

$$(4.2) \quad \begin{cases} y_{tt}(x, t) = y_{xx}(x, t), & x \in (-1, 0), \quad t > 0, \\ w_t(x, t) = w_{xx}(x, t), & x \in (0, 1), \quad t > 0, \\ y_x(-1, 0) = 0, \quad w(1, t) = 0 & t > 0, \\ y_t(0, t) = w(0, t), \quad y_x(0, t) = w_x(0, t), & t > 0, \end{cases}$$

subject to suitable initial conditions. Let $X_1 = L^2(-1, 0) \times L^2(-1, 0)$ and $X_2 = L^2(0, 1)$, both endowed with their natural Hilbert space norms. Moreover, let $z_1(t) = (u(\cdot, t), v(\cdot, t))^\top$ for $t \geq 0$, where $u = y_x$ and $v = y_t$, and let $z_2(t) = w(\cdot, t)$ for $t \geq 0$. Given initial data $z_0 = (z_1(0), z_2(0))^\top \in X = X_1 \times X_2$, we wish to study the asymptotic behaviour as $t \rightarrow \infty$ of the total energy $E(t) = E_W(t) + E_H(t)$ associated with the solution of the wave-heat system, where

$$E_W(t) = \frac{1}{2} \int_{-1}^0 |y_x(x, t)|^2 + |y_t(x, t)|^2 dx, \quad t \geq 0,$$

and

$$E_H(t) = \frac{1}{2} \int_0^1 |w(x, t)|^2 dx, \quad t \geq 0,$$

are the energies associated with the wave part and the heat part, respectively. In order to apply our abstract results we will formulate the wave-heat system as an abstract Cauchy problem in which the norm of an orbit corresponds to the energy of the associated solution of (4.2). We describe the generator of this abstract Cauchy problem using two impedance passive boundary nodes (G_1, L_1, K_1) and (G_2, L_2, K_2) for the wave part and the heat part, respectively, which are interconnected in a way that captures the boundary coupling in (4.2).

For $j = 1, 2$, we define $L_j: D(L_j) \subseteq X_j \rightarrow X_j$ and $G_j, K_j: D(L_j) \subseteq X_j \rightarrow \mathbb{C}$ by

$$L_1 \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} v' \\ u' \end{pmatrix}, \quad G_1 \begin{pmatrix} u \\ v \end{pmatrix} = v(0), \quad K_1 \begin{pmatrix} u \\ v \end{pmatrix} = u(0)$$

for all $(u, v)^\top \in D(L_1) = \{(u, v)^\top \in H^1(-1, 0) \times H^1(-1, 0) : u(-1) = 0\}$, and

$$L_2 w = w'' \quad G_2 w = -w'(0), \quad K_2 w = w(0)$$

for all $w \in D(L_2) = \{w \in H^2(0, 1) : w(1) = 0\}$. Then our wave-heat system (4.2) becomes an instance of the abstract coupled system (3.1). We verify that (G_j, L_j, K_j) is an impedance passive boundary node on $(\mathbb{C}, X_j, \mathbb{C})$ for $j = 1, 2$. If $x = (u, v)^\top \in D(L_1)$ then

$$\operatorname{Re}\langle L_1 x, x \rangle_{X_1} = \operatorname{Re}(\langle v', u \rangle_{L^2} + \langle u', v \rangle_{L^2}) = \operatorname{Re} u(0) \overline{v(0)} = \operatorname{Re}\langle G_1 x, K_1 x \rangle_{\mathbb{C}},$$

using integration by parts and the fact that $u(-1) = 0$. It follows that the restriction A_1 of L_1 to $\operatorname{Ker} G_1$ is dissipative. Furthermore, by the Rellich–Kondrachov theorem the domain $D(A_1) = \operatorname{Ker} G_1$ of A_1 is compactly embedded in X_1 , so A_1 has compact resolvent and in particular must be maximally dissipative, so A_1 generates a contraction semigroup by the Lumer–Phillips theorem. The operator G_1 is non-zero and therefore maps onto its codomain

\mathbb{C} . Hence there exists $x_0 \in D(L_1)$ such that $G_1 x_0 = 1$, and we may define a right-inverse $G_1^r \in \mathcal{B}(\mathbb{C}, D(L_1))$ of G_1 by $G_1^r \lambda = \lambda x_0$ for all $\lambda \in \mathbb{C}$. It follows that (G_1, L_1, K_1) is a boundary node on $(\mathbb{C}, X_1, \mathbb{C})$, and by our previous calculation using integration by parts it is impedance passive. Next we observe that the restriction A_2 of L_2 to $\text{Ker } G_2$ is a negative self-adjoint operator and in particular generates a contraction semigroup by the Lumer–Phillips theorem. As before, the operator G_2 is non-zero and hence maps onto its codomain \mathbb{C} , so it has a right-inverse $G_2^r \in \mathcal{B}(\mathbb{C}, D(L_2))$. It follows that (G_2, L_2, K_2) is a boundary node on $(\mathbb{C}, X_2, \mathbb{C})$. Finally, if $w \in D(L_2)$ then using integration by parts and the fact that $w(1) = 0$ we find that

$$\text{Re}\langle L_2 w, w \rangle_{X_2} = -\text{Re } w'(0) \overline{w(0)} - \|w\|_{L_2}^2 \leq \text{Re}\langle G_2 w, K_2 w \rangle_{\mathbb{C}},$$

so the boundary node is impedance passive, as required.

In order to apply Theorem 3.1 with $J = 1$ and $Q = 1$ we first note that the semigroup on X_1 generated by the restriction A_0 of L_1 to $\text{Ker}(G_1 + K_1)$ corresponds to the wave equation on the interval $(-1, 0)$ with a non-reflective boundary condition at $x = 0$. This semigroup is nilpotent and in particular uniformly exponentially stable, so we have $i\mathbb{R} \subseteq \rho(A_0)$ and $\sup_{s \in \mathbb{R}} \|(is - A_0)^{-1}\| < \infty$. It follows that we may take the function M_0 to be constant. Since A_2 is negative and invertible, we have $i\mathbb{R} \subseteq \rho(A_2)$ and $\sup_{s \in \mathbb{R}} \|(is - A_2)^{-1}\| < \infty$. Hence we may also take M_2 to be constant. The transfer function P_2 of the boundary node (G_2, L_2, K_2) satisfies $P_2(\lambda) = w(0)$ for $\lambda \in \overline{\mathbb{C}_+}$, where w is the solution of the problem $\lambda w - w'' = 0$ on $(0, 1)$ subject to the boundary conditions $-w'(0) = 1$ and $w(1) = 0$. Thus

$$P_2(\lambda) = \frac{\tanh \sqrt{\lambda}}{\sqrt{\lambda}}, \quad \lambda \in \overline{\mathbb{C}_+} \setminus \{0\},$$

and $P_2(0) = 1$. Here we take the complex logarithm to have a branch cut along the negative real axis, so that the arguments of complex numbers in $\mathbb{C} \setminus (-\infty, 0]$ lie in the interval $(-\pi, \pi)$. A simple calculation shows that

$$\text{Re } P_2(is) = \frac{\sin \sqrt{2|s|} + \sinh \sqrt{2|s|}}{\sqrt{2|s|} (\cos \sqrt{2|s|} + \cosh \sqrt{2|s|})}, \quad s \in \mathbb{R} \setminus \{0\}.$$

Using these formulas we see that $\sup_{s \in \mathbb{R}} \|P_2(1 + is)\| < \infty$ and $\text{Re } P_2(is) \gtrsim (1 + |s|)^{-1/2}$ for all $s \in \mathbb{R}$. We may therefore apply Corollary 4.3, taking M_0 , M_2 and μ to be constant, and $\eta(s) = c(1 + |s|)^{-1/2}$ for some $c > 0$ and all $s \in \mathbb{R}$, allowing us to set $M(s) = 1 + s^{1/2}$ for $s \geq 0$. We conclude that the operator $A = \text{diag}(L_1, L_2)$ with domain

$$D(A) = \{(u, v, w)^\top \in H^1(-1, 0) \times H^1(-1, 0) \times H^2(0, 1) : \\ u(-1) = w(1) = 0, v(0) = w(0), u(0) = w'(0)\},$$

which describes the wave-heat system (4.2) generates a strongly stable contraction semigroup $(T(t))_{t \geq 0}$ on X . Corollary 4.3 furthermore shows that $\|T(t)z_0\| = o(t^{-2})$ as $t \rightarrow \infty$ for all $z_0 \in D(A)$. By our choice of the norm on the space X the energy of the (mild) solution of (4.2) with initial data $z_0 \in X$ is given by $E(t) = \frac{1}{2} \|T(t)z_0\|_X^2$ for all $t \geq 0$, so we obtain the following result concerning energy decay in the wave-heat system (4.2).

Theorem 4.4. *The energy of every solution of the wave-heat system (4.2) satisfies $E(t) \rightarrow 0$ as $t \rightarrow \infty$, and for classical solutions we have $E(t) = o(t^{-4})$ as $t \rightarrow \infty$.*

We thus recover the main result of [17]; see also [57]. As is noted in [17, Rem. 4.3(a)], this rate of energy decay for classical solutions is sharp. The result of Theorem 4.4 remains true if we allow suitable non-constant coefficients in the wave part; see [26] for an analysis of the wave part in this case, and [47] for results on the corresponding wave-heat system. We may also replace the Dirichlet boundary condition in the heat part by a Neumann boundary condition. In this case the origin becomes an isolated simple eigenvalue of A , but we may nevertheless apply Theorem 3.1 in order to show that $\|(is - A)^{-1}\| \lesssim 1 + |s|^{1/2}$ for all $s \in \mathbb{R}$ such that $|s| \geq 1$, leading to the same decay rate as in Theorem 4.4, but this time for convergence towards a solution with non-zero energy.

4.2. Wave-heat networks. Next we consider networks made up of one-dimensional wave equations and a single one-dimensional heat equation. In view of the more concrete application we have in mind, and in order to avoid unnecessary complication, we restrict our exposition to the important case of *star-shaped* networks of wave equations. However, the reader will have no difficulty adapting our main result in this section, Theorem 4.5, to the setting of more general networks; see also Remark 4.7 below. To be precise, then, given $N \geq 1$ and $\ell_0, \dots, \ell_N > 0$ we consider the following system:

$$(4.3) \quad \begin{cases} y_{tt}^k(x, t) = y_{xx}^k(x, t), & x \in (0, \ell_k), t > 0, 0 \leq k \leq N, \\ w_t(x, t) = w_{xx}(x, t), & x \in (0, 1), t > 0, \\ y^k(0, t) = y^0(0, t), \quad y^k(\ell_k, t) = 0, & t > 0, 1 \leq k \leq N, \\ \sum_{k=0}^N y_x^k(0, t) = 0, \quad w(1, t) = 0, & t > 0, \\ y_t^0(\ell_0, t) = w(0, t), \quad y_x^0(\ell_0, t) = w_x(0, t), & t > 0, \end{cases}$$

subject to suitable initial conditions on y^0, \dots, y^N and w . Note that the coupling condition for the wave equations at the centre of the star is of *Kirchhoff* type. We wish to study the asymptotic behaviour as $t \rightarrow \infty$ of the total energy $E(t) = E_W(t) + E_H(t)$ of our system, where

$$E_W(t) = \frac{1}{2} \sum_{k=0}^N \int_0^{\ell_k} |y_x^k(x, t)|^2 + |y_t^k(x, t)|^2 dx, \quad t \geq 0,$$

and

$$E_H(t) = \frac{1}{2} \int_0^1 |w(x, t)|^2 dx, \quad t \geq 0,$$

denote the energies of the wave part and the heat, respectively. In order to formulate our system as an abstract Cauchy problem we consider the Hilbert spaces $H = \prod_{k=0}^N L^2(0, \ell_k)$ and

$$V = \left\{ (y_k)_{k=0}^N \in \prod_{k=0}^N H^1(0, \ell_k) : y_k(0) = y_0(0), y_k(\ell_k) = 0 \text{ for } 1 \leq k \leq N \right\},$$

the latter endowed with the norm defined by $\|y\|_V^2 = \sum_{k=0}^N \|y'_k\|_{L^2}^2$ for all $y = (y_k)_{k=0}^N \in V$. Furthermore, let $X_1 = V \times H$ and $X_2 = L^2(0, 1)$, and let $X = X_1 \times X_2$. We may now reformulate our system as an abstract Cauchy problem of the form (3.2) for the function

$$z(t) = ((y^k(\cdot, t))_{k=0}^N, (y_t^k(\cdot, t))_{k=0}^N, w)^\top, \quad t \geq 0,$$

on the Hilbert space X , where $A: D(A) \subseteq X \rightarrow X$ is defined by $A(y, v, w)^\top = (v, y'', w'')^\top$ for $(y, v, w)^\top$ in the domain

$$D(A) = \left\{ \begin{array}{l} \left(\begin{array}{l} (y_k)_{k=0}^N \\ (v_k)_{k=0}^N \\ w \end{array} \right) \in \left(V \cap \prod_{k=0}^N H^2(0, \ell_k) \right) \times V \times H^2(0, 1) : \\ \sum_{k=0}^N y'_k(0) = 0, \quad w(1) = 0, \quad v_0(\ell_0) = w(0), \quad y'_0(\ell_0) = w'(0) \end{array} \right\}.$$

Our aim is to relate the energy $E(t)$ of the coupled wave-heat system to the decay of the energy

$$E_0(t) = \frac{1}{2} \sum_{k=0}^N \int_0^{\ell_k} |y_x^k(x, t)|^2 + |y_t^k(x, t)|^2 dx, \quad t \geq 0,$$

where y^0, \dots, y^N are assumed to satisfy the relevant equations in (4.3), except with the coupling conditions imposed on y^0 at $x = \ell_0$ replaced by the non-reflective boundary condition $y_x^0(\ell_0, t) = -y_t^0(\ell_0, t)$ for all $t > 0$. We shall refer to this system as the *boundary-damped* network of wave equations, and we note that it corresponds to the abstract Cauchy problem

$$\begin{cases} \dot{z}(t) = A_0 z(t), & t \geq 0, \\ z(0) = z_0 \end{cases}$$

on X_1 , where

$$z(t) = ((y^k(\cdot, t))_{k=0}^N, (y_t^k(\cdot, t))_{k=0}^N)^\top, \quad t \geq 0,$$

and $A_0: D(A_0) \subseteq X_1 \rightarrow X_1$ is the operator defined by $A_0(y, v)^\top = (v, y'')^\top$ for $(y, v)^\top$ in the domain

$$D(A_0) = \left\{ \begin{array}{l} \left(\begin{array}{l} (y_k)_{k=0}^N \\ (v_k)_{k=0}^N \end{array} \right) \in \left(V \cap \prod_{k=0}^N H^2(0, \ell_k) \right) \times V : \\ \sum_{k=0}^N y'_k(0) = 0, \quad y'_0(\ell_0) = -v_0(\ell_0) \end{array} \right\}.$$

We now describe the rate of energy decay in the wave-heat network (4.3) in terms of the rate of energy decay in the boundary-damped network of wave equations. In general, for classical solutions $E(t)$ will decay slightly more slowly as $t \rightarrow \infty$ than $E_0(t)$.

Theorem 4.5. *Suppose that $r_0: \mathbb{R}_+ \rightarrow (0, \infty)$ is a continuous strictly decreasing function such that $r_0(t) \rightarrow 0$ as $t \rightarrow \infty$ and $E_0(t) = O(r_0(t))$ as $t \rightarrow \infty$ for all classical solutions of the boundary-damped network of wave*

equations. Then $E(t) \rightarrow 0$ as $t \rightarrow \infty$ for all solutions of the wave-heat network (4.3), and for classical solutions

$$E(t) = O(r(t)), \quad t \rightarrow \infty,$$

where r is the inverse of $s \mapsto s^{-1/4}r_0^{-1}(s)$ for $0 < s \leq r_0(0)$. Furthermore, if $E_0(t) = O(t^{-\alpha})$ as $t \rightarrow \infty$ for some $\alpha > 0$ then $E(t) = o(t^{-4\alpha/(4+\alpha)})$ as $t \rightarrow \infty$ for all classical solutions of (4.3).

Proof. We apply Corollary 4.3. We begin by expressing the operator A in terms of two impedance passive boundary nodes (G_1, L_1, K_1) and (G_2, L_2, K_2) on $(\mathbb{C}, X_1, \mathbb{C})$ and $(\mathbb{C}, X_2, \mathbb{C})$ corresponding to the wave network and to the heat equation, respectively, and with $J = 1$ and $Q = 1$. Specifically, we define $L_j: D(L_j) \subseteq X_j \rightarrow X_j$, for $j = 1, 2$, by $L_1(y, v)^\top = (v, y'')^\top$ with

$$D(L_1) = \left\{ \begin{pmatrix} (y_k)_{k=0}^N \\ (v_k)_{k=0}^N \end{pmatrix} \in \left(V \cap \prod_{k=0}^N H^2(0, \ell_k) \right) \times V : \sum_{k=0}^N y'_k(0) = 0 \right\}$$

and $L_2 w = w''$ with $D(L_2) = \{w \in H^2(0, 1) : w(1) = 0\}$. Furthermore, let $G_j, K_j: D(L_j) \subseteq X_j \rightarrow \mathbb{C}$, for $j = 1, 2$, be defined by

$$G_1 \begin{pmatrix} y \\ v \end{pmatrix} = v_0(\ell_0) \quad \text{and} \quad K_1 \begin{pmatrix} y \\ v \end{pmatrix} = y'_0(\ell_0)$$

for $(y, v)^\top = ((y_k)_{k=0}^N, (v_k)_{k=0}^N)^\top \in D(L_1)$, and $G_2 w = -w'(0)$ and $K_2 w = w(0)$ for $w \in D(L_2)$, respectively. Then the operator A governing the wave-heat network (4.3) may be written as $A = \text{diag}(L_1, L_2)$ with $D(A) = \{(y, v, w)^\top \in D(L_1) \times D(L_2) : G_1(y, v)^\top = K_2 w, G_2 w = -K_1(y, v)^\top\}$. As was shown in Section 4.1, (G_2, L_2, K_2) is an impedance passive boundary node on $(\mathbb{C}, X_2, \mathbb{C})$. We now show that (G_1, L_1, K_1) is an impedance passive boundary node on $(\mathbb{C}, X_1, \mathbb{C})$. For $x = (y, v)^\top \in D(L_1)$, where $y = (y_k)_{k=0}^N$ and $v = (v_k)_{k=0}^N$, integration by parts gives

$$\begin{aligned} \text{Re}\langle L_1 x, x \rangle &= \text{Re} \sum_{k=0}^N (\langle v'_k, y'_k \rangle_{L^2} + \langle y''_k, v_k \rangle_{L^2}) \\ (4.4) \quad &= \text{Re} v_0(\ell_0) \overline{y'_0(\ell_0)} - \text{Re} v_0(0) \sum_{k=0}^N \overline{y'_k(0)} \\ &= \text{Re}\langle G_1 x, K_1 x \rangle_{\mathbb{C}}, \end{aligned}$$

so the restriction A_1 of L_1 to $\text{Ker } G_1$ is dissipative. By the Rellich–Kondrachov theorem A_1 has compact resolvent and in particular is maximally dissipative, so by the Lumer–Phillips theorem it generates a contraction semigroup on X_1 . Since the operator G_1 is non-zero it maps onto its codomain \mathbb{C} and in particular admits a right-inverse $G_1^r \in \mathcal{B}(\mathbb{C}, D(L_1))$. Thus (G_1, L_1, K_1) is a boundary node, and by (4.4) it is impedance passive.

By our choice of norm on X_1 and our assumption on the energy E_0 of the network of boundary-damped wave equations we have $\|T_0(t)z_0\| = O(r_0(t)^{1/2})$ as $t \rightarrow \infty$ for all $z_0 \in D(A_0)$, where $(T_0(t))_{t \geq 0}$ is the contraction semigroup on X_1 generated by A_0 . It follows from the uniform boundedness principle that if $\lambda \in \rho(A_0)$ then $\|T_0(t)(\lambda - A_0)^{-1}\| = O(r_0(t)^{1/2})$ as $t \rightarrow \infty$, and hence $i\mathbb{R} \subseteq \rho(A_0)$ and $\|(is - A_0)^{-1}\| = O(r_0^{-1}(c|s|^{-2}))$ as

$|s| \rightarrow \infty$ for some $c > 0$, by Remark 4.2. As was shown in Section 4.1, $i\mathbb{R} \subseteq \rho(A_2)$ and $\sup_{s \in \mathbb{R}} \|(is - A_2)^{-1}\| < \infty$, and the transfer function P_2 of the boundary node (G_2, L_2, K_2) satisfies $\sup_{s \in \mathbb{R}} \|P_2(1 + is)\| < \infty$ and $\operatorname{Re} P_2(is) \gtrsim (1 + |s|)^{-1/2}$ for all $s \in \mathbb{R}$. We now apply Corollary 4.3. We may take the function $M_0: \mathbb{R} \rightarrow (0, \infty)$ to be defined, for suitable constants $C, s_0 > 0$, by $M_0(s) = Cr_0^{-1}(c|s|^{-2})$ when $|s| > s_0$ and by $M_0(s) = Cr_0^{-1}(cs_0|s|^{-2})$ for $|s| \leq s_0$. Furthermore, we may take M_2 and μ to be constant, and $\eta(s) = \delta(1 + |s|)^{-1/2}$ for some $\delta > 0$ and all $s \in \mathbb{R}$. We may therefore take $M: \mathbb{R}_+ \rightarrow (0, \infty)$ to be defined by $M(s) = (1 + s)^{1/2}M_0(s)$, $s \geq 0$, which is a continuous function that has positive increase. We conclude that A generates a strongly stable contraction semigroup $(T(t))_{t \geq 0}$ on X . We note that, by the choice of norm on X , the energy of the solution with initial data $z_0 \in X$ is given by $E(t) = \frac{1}{2}\|T(t)z_0\|^2$ for all $t \geq 0$, and hence $E(t) \rightarrow 0$ as $t \rightarrow \infty$ for all solutions of (4.3). By [50, Prop. 2.2] we have $M^{-1}(at) = O(M^{-1}(t))$ as $t \rightarrow \infty$ for all $a > 0$, so Corollary 4.3(c) yields $E(t) = O(r(t))$ as $t \rightarrow \infty$ for all classical solutions, where r is the inverse of $s \mapsto s^{-1/4}r_0^{-1}(s)$ for $0 < s \leq r_0(0)$. Finally, if $r_0(t) = O(t^{-\alpha})$ as $t \rightarrow \infty$ for some $\alpha > 0$ then we may take $M(s) = 1 + s^{(4+\alpha)/2\alpha}$ for all $s \geq 0$ in Corollary 4.3 to obtain that $E(t) = o(t^{-4\alpha/(4+\alpha)})$ as $t \rightarrow \infty$ for all classical solutions. \square

As an application of Theorem 4.5 we consider a special class of star-shaped networks in which the ratios ℓ_k/ℓ_j for $1 \leq k < j \leq N$ are irrational numbers of *constant type*, which is to say that the coefficients appearing in the continued fraction expansion form a bounded sequence. Such irrationals may be thought of as being poorly approximable by rational numbers, and they are known to form an uncountable subset of \mathbb{R} of measure zero.

Corollary 4.6. *Consider the wave-heat network (4.3) with $N \geq 2$, and suppose that ℓ_k/ℓ_j is an irrational number of constant type for $1 \leq k < j \leq N$. Then $E(t) \rightarrow 0$ as $t \rightarrow \infty$ for all solutions, and for classical solutions*

$$(4.5) \quad E(t) = o(t^{-\frac{4}{4N-3}}), \quad t \rightarrow \infty.$$

Proof. Let $A_0: D(A_0) \subseteq X_1 \rightarrow X_1$ be the operator corresponding to the boundary-damped network of wave equations. Since A_0 generates a contraction semigroup on X_1 it follows from [38, Sect. 4.3] that for all $\alpha \in (0, 1)$ the complex interpolation space $[D(A_0), X_1]_{1-\alpha}$ coincides with the domain of the fractional power $(-A_0)^\alpha$. By [55, Prop. 4.4] we have $\|T_0(t)z_0\| = O(t^{-\alpha/(2N-2)})$ as $t \rightarrow \infty$ for all $z_0 \in D((-A_0)^\alpha)$, provided that $\alpha \in (0, \frac{1}{2})$. For the choice $\alpha = 1/3$, an application of the uniform boundedness principle gives $\|T_0(t)(-A_0)^{-1/3}\| = O(t^{-1/(6N-6)})$ as $t \rightarrow \infty$, and hence by the semigroup property $E_0(t) = O(t^{-1/(N-1)})$ as $t \rightarrow \infty$ for all classical solutions of the boundary-damped network of wave equations. It follows from Theorem 4.5 that $E(t) \rightarrow 0$ as $t \rightarrow \infty$ for all mild solutions of the wave-heat network (4.3), and that $E(t) = o(t^{-4/(4N-3)})$ as $t \rightarrow \infty$ for all classical solutions. \square

Remark 4.7. For simplicity we have considered star-shaped networks of wave equations only, but the same analysis can be carried out for other network structures; see for instance [4, 5, 46, 55].

4.3. A wave equation with an acoustic boundary condition. As our final PDE model we study a multi-dimensional wave equation with an *acoustic boundary condition*, following [2, 19, 44]. Given $n \geq 2$ let Ω be a bounded domain in \mathbb{R}^n with C^2 boundary $\partial\Omega = \Gamma_0 \cup \Gamma_1$, where Γ_0, Γ_1 are assumed to be closed, non-empty and disjoint. We consider the problem

$$(4.6) \quad \begin{cases} y_{tt}(x, t) = \Delta y(x, t), & x \in \Omega, t > 0, \\ y(x, t) = 0, & x \in \Gamma_0, t > 0, \\ \partial_\nu y(x, t) = p_t(x, t), & x \in \Gamma_1, t > 0, \\ m(x)p_{tt}(x, t) = -d(x)p_t(x, t) - k(x)p(x, t) - y_t(x, t), & x \in \Gamma_1, t > 0, \end{cases}$$

to be solved subject to suitable initial conditions for y, y_t, p and p_t . Here ∂_ν denotes the outward normal derivative and the functions $m, d, k \in L^\infty(\Gamma_1)$ are assumed to be such that $m(x) \geq m_0, d(x) \geq d_0$ and $k(x) \geq k_0$ for some constants $m_0, d_0, k_0 > 0$ and almost all $x \in \Gamma_1$. Let $H_{\Gamma_0}^1(\Omega) = \{y \in H^1(\Omega) : y|_{\Gamma_0} = 0\}$ and let $X = H_{\Gamma_0}^1(\Omega) \times L^2(\Omega) \times L^2(\Gamma_1)^2$ with the inner product

$$\langle x_1, x_2 \rangle = \langle \nabla y_1, \nabla y_2 \rangle_{L^2(\Omega)} + \langle v_1, v_2 \rangle_{L^2(\Omega)} + \langle kp_1, p_2 \rangle_{L^2(\Gamma_1)} + \langle mq_1, q_2 \rangle_{L^2(\Gamma_1)}$$

for $x_j = (y_j, v_j, p_j, q_j)^\top \in X, j = 1, 2$. Then we may formulate our problem (4.6) as an abstract Cauchy problem on the Hilbert space X , with $A: D(A) \subseteq X \rightarrow X$ defined by

$$(4.7) \quad A \begin{pmatrix} y \\ v \\ p \\ q \end{pmatrix} = \begin{pmatrix} v \\ \Delta y \\ q \\ -m^{-1}(dq + kp + v|_{\Gamma_1}) \end{pmatrix}$$

for $(y, v, p, q)^\top$ in the domain $D(A) = \{(y, v, p, q)^\top \in H_{\Gamma_0}^1(\Omega)^2 \times L^2(\Gamma_1)^2 : \Delta y \in L^2(\Omega), \partial_\nu y|_{\Gamma_1} = q\}$ of A . Here we interpret $\partial_\nu y|_{\Gamma_1} = q$ as an equality in $H^{-1/2}(\Gamma_1)$, the (conjugate) dual space of $H^{1/2}(\Gamma_1)$ with respect to the pivot space $L^2(\Gamma_1)$. We wish to study the asymptotic behaviour of the solutions of (4.6) by relating the resolvent growth of A along the imaginary axis to that of the operator $A_0: D(A_0) \subseteq X_1 \rightarrow X_1$, where $X_1 = H_{\Gamma_0}^1(\Omega) \times L^2(\Omega)$ is endowed with the inner product

$$\langle x_1, x_2 \rangle = \langle \nabla y_1, \nabla y_2 \rangle_{L^2(\Omega)} + \langle v_1, v_2 \rangle_{L^2(\Omega)}$$

for $x_j = (y_j, v_j)^\top \in X_1, j = 1, 2$, and

$$A_0 \begin{pmatrix} y \\ v \end{pmatrix} = \begin{pmatrix} v \\ \Delta y \end{pmatrix}$$

for $(y, v)^\top$ in the domain

$$D(A_0) = \left\{ \begin{pmatrix} y \\ v \end{pmatrix} \in H_{\Gamma_0}^1(\Omega)^2 : \Delta y \in L^2(\Omega), \partial_\nu y|_{\Gamma_1} + v|_{\Gamma_1} = 0 \right\},$$

where $\partial_\nu y|_{\Gamma_1} + v|_{\Gamma_1} = 0$ is interpreted as an equality in $H^{-1/2}(\Gamma_1)$. Note that A_0 governs the evolution of the *boundary-damped* wave equation in the Hilbert space X_1 , that is to say the wave equation on Ω subject to a Dirichlet

boundary condition on Γ_0 and the dissipative boundary condition $\partial_\nu y = -y_t$ on Γ_1 . We define the energy of a solution of (4.6) by

$$E(t) = \frac{1}{2} \int_{\Omega} |\nabla y(x, t)|^2 + |y_t(x, t)|^2 dx + \frac{1}{2} \int_{\Gamma_1} k(s) |p(s, t)|^2 + m(s) |p_t(s, t)|^2 ds$$

for all $t \geq 0$. Our next result describes the asymptotic behaviour of this energy given an estimate for the resolvent of the generator A_0 of the boundary-damped wave system.

Theorem 4.8. *Suppose that $i\mathbb{R} \subseteq \rho(A_0)$ and let $M_0: \mathbb{R}_+ \rightarrow (0, \infty)$ be a continuous non-decreasing function such that*

$$\|(is - A_0)^{-1}\| \leq M_0(|s|), \quad s \in \mathbb{R}.$$

Then $E(t) \rightarrow 0$ as $t \rightarrow \infty$ for all solutions of (4.6), and for classical solutions

$$E(t) = O(r(t)), \quad t \rightarrow \infty,$$

where r is the inverse of $s \mapsto s^{-1}M_0(s^{-1/2})$ for $0 < s \leq 1$. Furthermore, if there exists $\alpha \geq 0$ such that $\|(is - A_0)^{-1}\| \lesssim 1 + |s|^\alpha$ for all $s \in \mathbb{R}$, then $E(t) = o(t^{-2/(2+\alpha)})$ as $t \rightarrow \infty$ for all classical solutions of (4.6).

Proof. Define the operators $L_1: D(L_1) \subseteq X_1 \rightarrow X_1$ and $G_1, K_1: D(L_1) \subseteq X_1 \rightarrow H^{-1/2}(\Gamma_1)$ by

$$L_1 \begin{pmatrix} y \\ v \end{pmatrix} = \begin{pmatrix} v \\ \Delta y \end{pmatrix}, \quad G_1 \begin{pmatrix} y \\ v \end{pmatrix} = \partial_\nu y|_{\Gamma_1}, \quad K_1 \begin{pmatrix} y \\ v \end{pmatrix} = \Phi(v|_{\Gamma_1})$$

for $(y, v)^\top$ in the domain $D(L_1) = \{(y, v)^\top \in H_{\Gamma_0}^1(\Omega) \times H_{\Gamma_0}^1(\Omega) : \Delta y \in L^2(\Omega)\}$. Here $\Phi: H^{1/2}(\Gamma_1) \rightarrow H^{-1/2}(\Gamma_1)$ denotes the unitary map provided by the Riesz–Fréchet theorem. Then by [40, Prop. 6.2] and Remark 2.1 the triple (G_1, L_1, K_1) is an impedance passive boundary node on (U_1, X_1, U_1) , where $U_1 = H^{-1/2}(\Gamma_1)$.

Let $U_2 = L^2(\Gamma_1)$ and define $Q = I \in \mathcal{B}(U_2)$. Write $J_1: H^{1/2}(\Gamma_1) \rightarrow U_2$ and $J_2: U_2 \rightarrow U_1$ for the natural embeddings, and define $J \in \mathcal{B}(U_1, U_2)$ by $J = J_1\Phi^*$. A simple calculation using the fact that U_1 is the (conjugate) dual space of $H^{1/2}(\Gamma_1)$ with respect to the pivot space U_2 shows that $J_2 = \Phi J_1^*$, and hence $J^*J = J_2 J_1\Phi^*$. Thus the operator A_0 that governs the evolution of the boundary-damped wave equation is precisely the restriction of L_1 to $\text{Ker}(G_1 + J^*JK_1)$, and hence the function M_0 plays the same role as the function M_0 appearing in Theorem 3.8. Let $X_2 = U_2 \times U_2 = L^2(\Gamma_1)^2$, endowed with the inner product

$$\langle x_1, x_2 \rangle_{X_2} = \langle kp_1, p_2 \rangle_{L^2(\Gamma_1)} + \langle mq_1, q_2 \rangle_{L^2(\Gamma_1)}$$

for $x_j = (p_j, q_j)^\top \in X_2$, $j = 1, 2$, and define the operators $A_2 \in \mathcal{B}(X_2)$, $B_2 \in \mathcal{B}(U_2, X_2)$, $C_2 \in \mathcal{B}(X_2, U_2)$ and $D_2 \in \mathcal{B}(U_2)$ by

$$A_2 \begin{pmatrix} p \\ q \end{pmatrix} = \begin{pmatrix} q \\ -m^{-1}(dq + kp) \end{pmatrix}, \quad B_2 u = \begin{pmatrix} 0 \\ m^{-1}u \end{pmatrix}, \quad C_2 \begin{pmatrix} p \\ q \end{pmatrix} = q$$

and $D_2 = 0$. It is straightforward to verify that the operator A defined in (4.7) satisfies the description of the operator A considered in Theorem 3.8.

Note that $\lambda - A_2$ is invertible, and in particular surjective, for all $\lambda > \|A_2\|$. Hence for $x = (p, q)^\top \in X_2$ and $u \in U_2$ we have

$$\begin{aligned} \operatorname{Re}\langle A_2x + B_2u, x \rangle_{X_2} &= -\|d^{1/2}q\|_{L^2(\Gamma_1)}^2 + \operatorname{Re}\langle u, q \rangle_{L^2(\Gamma_1)} \\ &= -\|d^{1/2}q\|_{L^2(\Gamma_1)}^2 + \operatorname{Re}\langle q, u \rangle_{U_2} \\ &\leq \operatorname{Re}\langle C_2x + D_2u, u \rangle_{U_2}, \end{aligned}$$

so (3.7) is satisfied. It is straightforward to verify that $i\mathbb{R} \subseteq \rho(A_2)$ and that $\sup_{s \in \mathbb{R}} \|(is - A_2)^{-1}\| < \infty$. Let $P_2(\lambda) = C_2(\lambda - A_2)^{-1}B_2$ for $\lambda \in \rho(A_2)$. Then $P_2(is) = s(sd + i(s^2m - k))^{-1}I$ and hence

$$\operatorname{Re} P_2(is) = \frac{s^2d}{s^2d + (ms^2 - k)^2}I \geq \frac{s^2d_0}{s^2\|d\|_\infty + 2s^4\|m\|_\infty^2 + 2\|k\|_\infty^2}I$$

for all $s \in \mathbb{R}$. In particular, $P_2(0) = 0$. Let $s_0 > 0$. Then there exists $c > 0$ such that $\operatorname{Re} P_2(is) \geq c(1 + |s|^2)^{-1}I$ for $|s| \geq s_0$. We now apply Theorem 3.8 with $E = \{s \in \mathbb{R} : |s| \geq s_0\}$, M_0 as above, M_2 constant and $\eta(s) = c(1 + |s|^2)^{-1}$ for $s \in E$. We conclude that A generates a contraction semigroup $(T(t))_{t \geq 0}$ on X , $iE \subseteq \rho(A)$ and $\|(is - A)^{-1}\| \lesssim M_0(|s|)(1 + |s|^2)$ for $|s| \geq s_0$. Since $P_2(0) = 0$, Remark 3.10 shows that $0 \in \rho(A)$. Since the resolvent set is open and $s_0 > 0$ was arbitrary, we deduce that $i\mathbb{R} \subseteq \rho(A)$. Furthermore, $\|(is - A)^{-1}\| \lesssim M_0(|s|)(1 + |s|^2)$ for all $s \in \mathbb{R}$. Finally, if $\|(is - A_0)^{-1}\| \lesssim 1 + |s|^\alpha$ then $\|(is - A)^{-1}\| \lesssim 1 + |s|^{2+\alpha}$ for all $s \in \mathbb{R}$. Since the function $s \mapsto M_0(s)(1 + s^2)$ has positive increase, the result now follows from Theorem 4.1. \square

Finally, we apply Theorem 4.8 in order to study the rate of energy decay of waves subject to an acoustic boundary condition as in (4.6). We restrict our attention to an illustrative special case.

Example 4.9. Let $\Omega = \{x \in \mathbb{R}^2 : 1 < |x| < 2\}$ and let Γ_0, Γ_1 be the inner and the outer part of the boundary of the annulus Ω , in either order. We consider both cases in turn.

- (a) If $\Gamma_0 = \{x \in \mathbb{R}^2 : |x| = 1\}$ is the inner boundary and the acoustic boundary condition is applied along the outer boundary $\Gamma_1 = \{x \in \mathbb{R}^2 : |x| = 2\}$ then the *geometric control condition* is satisfied in the corresponding boundary-damped wave equation on Ω (with damping on Γ_1), and hence the semigroup generated by A_0 is exponentially stable; see [14]. It follows that $i\mathbb{R} \subseteq \rho(A_0)$ and $\sup_{s \in \mathbb{R}} \|(is - A_0)^{-1}\| < \infty$. Hence Theorem 4.8 gives $E(t) \rightarrow 0$ as $t \rightarrow \infty$ for all solutions of (4.6), and $E(t) = o(t^{-1})$ as $t \rightarrow \infty$ for all classical solutions.
- (b) If $\Gamma_0 = \{x \in \mathbb{R}^2 : |x| = 2\}$ is the outer boundary and the acoustic boundary condition is applied along the inner boundary $\Gamma_1 = \{x \in \mathbb{R}^2 : |x| = 1\}$ of Ω , then $i\mathbb{R} \subseteq \rho(A_0)$ as before but the presence of *whispering gallery modes* means that one cannot expect a better resolvent bound than $\|(is - A_0)^{-1}\| \lesssim e^{c|s|}$ for some $c > 0$ and all $s \in \mathbb{R}$; see for instance [35]. It follows from Theorem 4.8 that $E(t) \rightarrow 0$ as $t \rightarrow \infty$ for all solutions of (4.6), and that $E(t) = O(\log(t)^{-1})$ as $t \rightarrow \infty$ for all classical solutions.

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